

FINES AND SUSPENSIONS BULLETIN

Sat, Oct 29 - Fri, Nov 4

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

FOSTER, RALPH E JR
USTA on 8/17/2012

WENTWORTH, NH

YOB 1947

RESTORED TO GOOD STANDING(RES)
AS OF 11/2/2016

USTA MEMBERSHIP ACTION

Membership denied pursuant to the provision of Article 1, Section 3(a) of the Association by-laws.

Status as of 11/2/2016 - Subsequent Ruling - Restored to good standing.

KOZAR, NICHOLAS
USTA on 10/25/2016

BENTLYVILLE, PA

YOB 1981

RESTORED TO GOOD STANDING(RES)
AS OF 11/1/2016

USTA MEMBERSHIP ACTION

Indefinite suspension for violation of Rule 20, Section 9 "Issuing a dishonored check to USTA in payment of fees."

Status as of 11/1/2016 - Subsequent Ruling - Restored to good standing.

O'NEILL, ERIC S
USTA on 11/1/2016

STATEN ISLAND, NY

YOB 1968

INDEFINITE SUSPENSION(IND) AS OF
11/1/2016

USTA MEMBERSHIP ACTION

Membership suspended for failure to answer correspondence regarding financial responsibility complaint.

WRUBEL, GAIL ANN
USTA on 11/1/2016

PITTSTON TWP, PA

YOB 1962

DENIAL(DEN) AS OF 11/1/2016

USTA MEMBERSHIP ACTION

Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association bylaws.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall

not be construed as a failure to recognize and/or reciprocate with those published penalties.

Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.

BALIGA, JOSEPH M **DALEVILLE, IN** **YOB 1955**
HoP on 9/30/2016 INDEFINITE SUSPENSION(IND) AS OF
10/1/2016

OTHER

71 IAC 5-1-14(a)(10) 71 IAC 10-2-3(a)(b)(c) A hearing with Joseph Baliga was held on 10/31/16 to decide if the summary suspension issued on 10/1/16 should continue until a hearing on the merits of the case are heard. The IHRC judges determined that the summary suspension should continue. The IHRC license of Joseph remains suspended and he is barred from the grounds of all facilities under IHRC jurisdiction.

CHAFFINCH, CARTER B **GEORGETOWN, DE** **YOB**
1974

Har on 10/3/2016 FINED: \$200

FALSIFICATION OF LICENSE APPLICATION

Mr. Carter B. Chaffinch is fined \$200.00 and is issued a two year conditional license for falsifying his DHRC license application. Mr. Chaffinch will be on probation for two years, must be fingerprinted at the end of the two year probation and if any violation of DHRC rules may result in revocation of his license. DHRC rule: 5.1.16

COTTONGIM, CHARLOTTE L **SOMERSET, KY** **YOB 1960**
HoP on 10/29/2016 FULL - INDEFINITELY AS OF 10/29/2016

FAILURE TO OBTAIN PROPER LICENSE

71 IAC 5-1-1 Ms. Cottongim failed to complete licensing requirements for 2016 in Indiana by failing to submit fingerprints.

COTTONGIM, DANNIE A **SOMERSET, KY** **YOB 1958**
HoP on 10/29/2016 FULL - INDEFINITELY AS OF 10/29/2016

FAILURE TO OBTAIN PROPER LICENSE

71 IAC 5-1-1 Mr. Cottongim failed to complete licensing requirements for 2016 in Indiana by failing to submit fingerprints.

ERNSTHAUSEN, ROBERT L **BOWLING GREEN, OH** **YOB 1947**
Nfld on 10/22/2016 INDEFINITE SUSPENSION(IND) AS OF
11/1/2016

UNPAID OBLIGATION

Mr. Ernsthausen is hereby suspended until he pays the fine (\$1,000.00) per ruling NFLD-2016-067 to the OSRC. O.S.R.C.-3769-12-26(A)(6) 12-99

FINCH, JENNIFER

OBERLIN, OH

**YOB
UNKN**

Nfld on 10/15/2016

INDEFINITE SUSPENSION(IND) AS OF
11/1/2016

REFUSAL TO SUBMIT TO URINE TEST

Ms. Finch is hereby suspended until she appears before the judges at Northfield Park for failure to submit a urine sample when requested to do so. O.S.R.C.-3769-12-26(A)(11) 12-99 18-09(E) 18-99

HARMON, KRISTA J

JACKSON, MI

YOB 1972

HoP on 10/15/2016

INDEFINITE SUSPENSION(IND) AS OF
10/15/2016

OTHER

71 IAC 8-7-1 71 IAC 10-2-3(a) Krista Harmon`s IHRC license is summarily suspended as of 10/15/16. She has committed an act which is not in the best interest of racing. During a search of her area of control on the grounds of Hoosier Park on 10/15/16 several items of contraband were found. These items included but are not limited to: Needles, Syringes & Injectable Medicines. The summary suspension will continue until a hearing is requested in writing by Ms. Harmon. During the suspension she is barred from the grounds of all facilities under the jurisdiction of the IHRC.

HoP on 10/15/2016

INDEFINITE SUSPENSION(IND) AS OF
10/31/2016

OTHER

71 IAC 10-2-3(a) 71 IAC 5-1-14(a)(10) 71 IAC 8-7-1 A hearing was held with Krista Harmon on 10/31/16 to decide if the summary suspension issued on 10/15/16 should continue until a hearing on the merits of the case are heard. The IHRC judges decided that the summary suspension should continue. The racing license of Krista Harmon remains suspended and she is barred from the grounds of all facilities under IHRC jurisdiction.

HOLZMAN, PAUL R

PITTSTON, PA

YOB 1962

PHL on 9/25/2016

OTHER

Ruling #16130CD dated 10/4/2016 was written in error. There is no penalty or fine for Mr. Holzman.

HORNER, CLAY HARLAND **TORONTO, ON** **YOB 1959**
HoP on 10/29/2016 FULL - INDEFINITELY AS OF 10/29/2016
FAILURE TO OBTAIN PROPER LICENSE
71 IAC 5-1-1 Mr. Horner failed to complete licensing requirements for 2016 in Indiana by failing to submit fingerprints.

LARE, KEVIN **SEAFORD, DE** **YOB 1969**
PHL on 7/21/2016 FINED: \$5,000
FULL - 365 DAYS, 11/12/2016 THRU 11/11/2017
HORSE DISQUALIFIED - PLACED 7, PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

CODE 58-183.352 183.354 183.355 183.357 183.336 Sample #282927 collected on 7/21/2016 from the horse Keystone Rampage tested positive for Oxycodone. Kevin Lare as trainer is in violation of PHRC medication rules and the trainer responsibility rule. All purse money earned on 7/21/2016 must be returned within 10 days of ruling and redistributed. Transfers of horses must be approved by the Judges at Harrah's Chester or by the PHRC. All Fines must be paid within 10 days of ruling. Please note further that you have the right to appeal this decision in writing to the State Horse Racing Commission within ten (10) days after notice of this decision. The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Fred Strathmeyer Phone (717) 787-5196

POILLUCCI, RICHARD **NORTH EASTON, MA** **YOB 1956**
HoP on 10/29/2016
FAILURE TO OBTAIN PROPER LICENSE
71 IAC 5-1-1 Mr. Poillucci failed to complete licensing requirements for 2016 in Indiana by failing to submit fingerprints.

SCHILLACI, SAMUEL J **MACEDONIA, OH** **YOB 1962**
Burt on 9/3/2016 WARNING ISSUED
LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION (BUTE/LASIX)
An analysis of the test sample obtained from AT MY REQUEST following its first place finish in the sixth race at the Geauga County Fair in Burton, Ohio on September 3, 2016 revealed the presence of flunixin at a concentration that exceeds the regulatory threshold. Trainer Samuel Schillaci is hereby

ordered a warning.

SHAWVER, JOHN D
PcD on 10/18/2016

WILKES BARRE, PA
FINED: \$5,000
FULL - 1825 DAYS, 11/9/2016 THRU
11/7/2021

YOB 1968

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

PA Code 58-183.345(a) 183.13 183.336 183.362 Mr. Shawver was observed on a video in the paddock for race #9 on 10/18/16 treating a horse(Bettin Bruce)that was the trainer of. He put a syringe in the horses` mouth twice and appeared to give him a shot in the neck with a needle. This is conduct that is detrimental to racing. Mr. Shawver is fined \$5000.00 and suspended(full)1825 days starting on 11/9/16 and continuing through 11/7/2021. During this suspension he and all horses owned and trained by him are barred from all grounds under the jurisdiction of The State Horse Racing Commission (TSHRC). Any transfer of horses trained by Mr. Shawver must be approved by the judges at any track that he may race at in PA or by TSHRC. He can appeal this decision in writing within 10 days` notice of this decision as set forth in PA Code 58-183.404(a). The mailing address for an appeal is: 2301 N. Cameron St.-Room 301-Harrisburg, PA 17110 ATTN: Fred Strathmyer Phone:717-787-5196.

TSIOLAS, GEORGE
M on 9/16/2016

FAIR LAWN, NJ
FINED: \$100

YOB 1958

OFFENSIVE/PROFANE LANGUAGE

Use offensive and profane language towards a security guard in the detention barn.

PART THREE - WHIPPING VIOLATIONS

LITT, THOMAS
DTN on 10/31/2016

BUCKEYE LAKE, OH
FINED: \$300

YOB 1994

INAPPROPRIATE USE OF THE WHIP

Driver THOMAS LITT is hereby fined the sum of three hundred dollars (\$300.00) for A violation of Ohio State Racing Commission Rule 3769-17-17.(c). While driving No. 6 NORTH BAY HANOVER in the twelfth race on October 31, 2016 at Dayton Raceway, Mr. Litt did move his arm in an exaggerated manner by using more than his wrist and elbow action during

the final quarter of the mile. This is Mr. Litt`s first such violation of the use of the whip rule within the last 365 days in the state of Ohio. Mr. Wilder was given his due process and waived his right to an appeal.

MICALLEF, MICHAEL L **CANONSBURG, PA** **YOB 1973**
Mea on 10/29/2016 **FINED: \$200**

INAPPROPRIATE USE OF THE WHIP

PA Code Title 58-183.264(b). Mr. Micallef while driving #3 PIECE OF THE ROCK in the 12th Race at The Meadows on October 29, 2016 did whip his horse using other than wrist and elbow action at the top of the stretch. The fine is \$200, and is due by November 20, 2016. Mr. Micallef has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

MORGAN, TERRY R **COLTS NECK, NJ** **YOB 1943**
PHL on 10/20/2016 **DRIVING - 5 DAYS, 10/29/2016 THRU**

11/2/2016
HORSE PLACED 6

FAILURE TO KEEP A LINE IN EACH HAND

PA CODE 58-183.264 Violated the PHRC whipping rule (Failure to keep a line in each hand). Horse was placed for one handed whipping down the stretch. All fines due within 10 days of ruling.

MOYER, JARED RAYMOND **WARWICK, MD** **YOB 1992**
RcR on 10/20/2016 **FINED: \$500**

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Jared Moyer is fined \$500 or a 7 day suspension, for excessive whipping (Welts-2nd Offense)on ANGEL OR TERROR in the 11th race on October 20, 2016. COMAR: 09.10.02.33H(9)

***Status as of 11/4/2016 - Subsequent Ruling - Appeal dropped
November 2, 2016. Fine of \$500 to be paid within prescribed time.***

NASON, STEVEN S **FREEDOM, NH** **YOB 1990**
PRc on 10/25/2016 **DRIVING - 3 DAYS, 10/31/2016 THRU**

11/2/2016

FAILURE TO KEEP A LINE IN EACH HAND

205 CMR 312.1 Mr. Nason one handed with his whip and failed to maintain a line in each hand while driving # 9 IANTHE HANOVER in the 8th race at Plainridge Park Casino on 10/25/2016. Mr. Nason requested a hearing

which was held on 10/28/16 at 11 AM in the judge's office. Mr. Nason agreed to a 3 day driving suspension beginning on 10/31/16 thru and including 11/2/16. This suspension is from driving only. 205 CMR 3.03 (2) all appeals must be filed at the office in writing of the MGC within 10 days of ruling.

THOMPSON, JASON E RcR on 11/1/2016 EXCESSIVE OR INDISCRIMINATE USE OF WHIP Driver Jason Thompson is fined \$100 for excessive whipping on SAMCRO in the 5th race on November 1, 2016. COMAR: 09.10.02.33H(9)	HARTLEY, DE FINED: \$100	YOB 1993
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PART FOUR - MINOR PENALTIES

BROCKLEHURST, HAROLD Mea on 10/25/2016 INSIDE THE EURO RAIL / PYLON VIOLATION	NEW WILMINGTON, PA FINED: \$200 HORSE PLACED 7	YOB 1960
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BURKE, RONALD J PHL on 10/23/2016 FAILURE TO HONOR DECLARATION	CANONSBURG, PA FINED: \$500	YOB 1969
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COLLINS, DEAN T Mea on 10/29/2016 KICKING A HORSE	WASHINGTON, PA FINED: \$250	YOB 1957
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CUSHING, RONALD L PRc on 10/20/2016 KICKING A HORSE	ALBION, ME FINED: \$250	YOB 1964
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DE LONG, JOHN J HoP on 10/19/2016 PASSING LANE VIOLATION	ANDERSON, IN FINED: \$200 HORSE PLACED 5	YOB 1989
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EATON, JAMES N PcD on 10/30/2016 LATE TO THE LASIX/ DETENTION BARN	CRETE, IL FINED: \$250	YOB 1957
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FERRARI, LARRY H Nfld on 10/26/2016 FAILURE TO COME UP/STAY IN POSITION	MACEDONIA, OH FINED: \$25	YOB 1965
FLIS, CORINNE A DTN on 10/28/2016 DELAYING THE POST PARADE	PLYMOUTH, MI FINED: \$50	YOB 1960
GINSBURG, VINCENT A PHL on 10/26/2016 FAILURE TO DRIVE WHEN PROGRAMMED	FREEHOLD, NJ FINED: \$200	YOB 1988
HAMILTON, DOUGLAS I PHL on 10/21/2016 LATE DRIVER CHANGE	BEECHER, IL FINED: \$200	YOB 1947
HECHKOFF, ROBERT B MR on 10/27/2016 FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL	NEW YORK, NY FINED: \$100	YOB 1954
HITEMAN, DALE P HoP on 10/28/2016 FAILURE TO DRIVE WHEN PROGRAMMED	MICHIGAN CITY, IN FINED: \$100	YOB 1956
HUSTED, KYLE A PHL on 10/21/2016 LATE DRIVER CHANGE	CRETE, IL FINED: \$200	YOB 1991
LACHANCE, PATRICK D YR on 10/29/2016 FAILURE TO DRIVE WHEN PROGRAMMED	ALLENTOWN, NJ FINED: \$100	YOB 1975
MC KEON, JOHN J JR PHL on 10/27/2016 IMPEDING THE PROGRESS OF ANOTHER HORSE	MOSS BEACH, CA FINED: \$200	YOB 1946
MCNAIR, DOUGLAS R M on 10/21/2016 CROWDING/PUTTING ANOTHER WHEEL UNDER ANOTHER HORSE OR DRIVER	GUELPH, ON FINED: \$300	YOB 1989

MILLER, MARCUS DREW PHL on 10/26/2016 CARRY A HORSE OUT	STROUDSBURG, PA FINED: \$200 HORSE PLACED 6	YOB 1989
PANTALEANO, JAMES R Mea on 10/29/2016 KICKING A HORSE	CANONSBURG, PA FINED: \$250	YOB 1962
RAWLINGS, DAN J. PRc on 10/25/2016 OTHER	WASHINGTON, PA FINED: \$25	YOB 1981
RUIZ, MATIAS S PcD on 10/29/2016 DELAYING THE START	WINDGAP, PA FINED: \$100	YOB 1958
SMITH, TYLER ALAN HoP on 10/15/2016 PASSING LANE VIOLATION	WASHINGTON COURT HOU, OH FINED: \$200 HORSE PLACED 6	YOB 1993
STECK, RONALD E DTN on 10/22/2016 DELAYING THE POST PARADE	MARENGO, OH FINED: \$50	YOB 1968
SUMNER, DANIEL T PRc on 10/18/2016 JOSTLE, STRIKE OR HOOK WHEELS	NORTH ATTLEBORO, MA FINED: \$100 HORSE PLACED 9	YOB 1960
SUNDSTROM, MARK C Nfld on 10/25/2016 LATE DRIVER CHANGE	OAKWOOD VILLAGE, OH FINED: \$150	YOB 1966
SUTTON, JOSHUA DTN on 10/24/2016 FAILURE TO DRIVE WHEN PROGRAMMED	LEBANON, OH FINED: \$100	YOB 1982
TAGGART, JAMES R	MONTICELLO, NY	YOB 1941

YR on 10/28/2016 FINED: \$100
FAILURE TO DRIVE WHEN PROGRAMMED

TAGGART, JIM R JR WILKES BARRE, PA YOB 1970
PcD on 10/29/2016 FINED: \$200
CHANGING COURSE/CAUSING INTERFERENCE

U'REN, KENNETH S WEST ALEXANDER, PA YOB 1966
Mea on 10/29/2016 FINED: \$250
KICKING A HORSE

WALTZ, MATTHEW W CLAYTON, MI YOB 1964
Nfld on 10/29/2016 FINED: \$50
LATE DRIVER CHANGE

WARREN, TODD E MANHATTEN, IL YOB 1965
HoP on 10/21/2016 FINED: \$100
FAILURE TO SET AND MAINTAIN A PROPER PACE

WATSON, LISA A CUMBERLAND, ME YOB 1968
PRc on 10/21/2016 FINED: \$100
LATE TO THE LASIX/ DETENTION BARN

WIDGER, SAMUEL D BEECHER, IL YOB 1963
HoP on 10/25/2016 FINED: \$200
HORSE PLACED 8
IMPEDING THE PROGRESS OF ANOTHER HORSE
HoP on 10/27/2016 FINED: \$200
HORSE PLACED 2
IMPEDING THE PROGRESS OF ANOTHER HORSE