

## FINES AND SUSPENSIONS BULLETIN

Fri, Nov 25 - Thu, Dec 1

### PART ONE

*The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.*

**\*\*\* NO RECORDS FOUND \*\*\***

### PART TWO - MAJOR PENALTIES

*The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with those published penalties.*

*Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.*

**ADDISON, VIRGIL**

**PHL on 9/29/2016**

**PHILADELPHIA, PA**

**FINED: \$10,000**

**FULL - 1825 DAYS, 11/18/2020 THRU  
11/16/2025**

**HORSE DISQUALIFIED - PLACED 7,  
PURSE REDISTRIBUTION**

**APPEALED - 12/1/2016**

**YOB 1959**

#### **POSITIVE TEST- POST RACE**

**CODE 58-183.352 183.354 183.355 183.357 183.336 Sample #311256 collected on 9/29/2016 from the horse Pinot Griglo tested positive for Sildenafil (3rd offense). Virgil Addison as trainer is in violation of PHRC medication rules and the trainer responsibility rule. All purse money earned on 9/29/2016 must be returned within 10 days of ruling and redistributed. Transfers of horses must be approved by the Judges at Harrah's Chester or by the PHRC. All Fines must be paid within 10 days of ruling. Please note that you have the right to appeal this decision in writing to the PA Harness Racing Commission within ten (10) days after notice of this decision as set forth in 58 Pa. Code §§ 183.404 (a). The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Fred Strathmeyer Phone (717) 787-5196 **Status as of 12/1/2016 - Appeal - Ruling # 16075C The request by Virgil Addison for an appeal of Harrah's Philadelphia Judges Ruling No. 16178CD is hereby Granted. However, the request for a stay of enforcement is DENIED.****

**PHL on 10/23/2016**

**FINED: \$10,000**

FULL - 2555 DAYS, 11/17/2025 THRU  
11/14/2032  
HORSE DISQUALIFIED - PLACED 8,  
PURSE REDISTRIBUTION  
**APPEALED - 12/1/2016**

**POSITIVE TEST- POST RACE**

CODE 58-183.352 183.354 183.355 183.357 183.336 Sample #311521 collected on 10/23/2016 from the horse Gold Shooter tested positive for Sildenafil (4th offense). Virgil Addison as trainer is in violation of PHRC medication rules and the trainer responsibility rule. All purse money earned on 10/23/2016 must be returned within 10 days of ruling and redistributed. Transfers of horses must be approved by the Judges at Harrah's Chester or by the PHRC. All Fines must be paid within 10 days of ruling. Please note that you have the right to appeal this decision in writing to the PA Harness Racing Commission within ten (10) days after notice of this decision as set forth in 58 Pa. Code §§ 183.404 (a). The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Fred Strathmeyer Phone (717) 787-5196  
**Status as of 12/1/2016 - Appeal - Ruling # 16076C The request by Virgil Addison for an appeal of Harrah's Philadelphia Judges Ruling No. 16179CD is hereby GRANTED. However, the request for a stay of enforcement is DENIED.**

**AUCIELLO, CARMEN E**  
M on 3/14/2014

**STOUFFVILLE, ON**

**YOB 1980**

FINED: \$500  
FULL - 15 DAYS, 12/17/2016 THRU  
12/31/2016  
HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**POSITIVE TEST- POST RACE**

**Status as of 11/22/2016 - Subsequent Ruling - On November 16, 2016 the NJRC determined to adopt the Initial Decision of Administrative Law Judge which affirmed the determination of the Board of Judges that Carmen Auciello be ordered a 15-day suspension and \$500 fine. Therefore, Auciello is hereby suspended on December 17, 2016 through and including December 31, 2016. The Executive Director hereby orders that the purse monies for the 10th race on March 14, 2014 be held until further notice.**

**CLEVENGER, TIM**

**FAIR OAKS, CA**

**YOB 1984**

**HoP** on 10/27/2016

FINED: \$500

**POSITIVE TEST- POST RACE**

71 IAC 5-3-2 8-1-4(A)(a) Sample #219352 collected on 10/27/16 from the horse Sand Fortune was found to contain PHENYLBUTAZONE at a level above the allowable limit. Limit 2 mg/ml-Tested 3.3 mg/ml. Mr. Clevenger as trainer is in violation of the IHRC medication rules and the trainer responsibility rule. 1 MMV point assessed.

**HoP** on 10/29/2016

**POSITIVE TEST- POST RACE**

71 IAC 5-3-2 8-1-4.1(A)(a) Sample #E219396 collected on 10/29/16 from the horse Stable Eyes was found to contain PHENYLBUTAZONE at a level above the allowable limit. Limit 2.0 mg/ml-Tested 3.1 mg/ml. Mr. Clevenger as trainer is in violation of IHRC medication rules and the trainer responsibility rule. There were mitigating circumstances involved in this case.(Time of notification)

**DOWDALL, MICHAEL J**

**RAVENNA, OH**

**YOB 1968**

**Nfld** on 10/17/2016

FINED: \$1,000

FULL - 20 DAYS, 11/29/2016 THRU  
12/18/2016

*APPEALED - 11/28/2016*

**POSITIVE TEST- POST RACE**

Urine sample #843329 collected at Northfield Park on 10/17/16 from the horse Lookinforadventure was found to contain Methocarbamol at a concentration of 60.4+-11.5 micrograms per milliliter of urine. This exceeds the Regulatory Threshold of 1.0 microgram per milliliter adopted by the OSRC. All purse money must be returned (\$7,000.00). This ruling is referred to the commission for an additional \$500.00 fine. Second Offense. O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99 17-30(B) 17-33(A)&(B) 17-99 Subject is barred from all grounds governed by the OSRC during his suspension. Any horses under subjects care that he wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

***Status as of 11/28/2016 - Appeal***

**FARINA, ANTHONY R**

**MIDDLETOWN, NY**

**YOB 1991**

**Nfld** on 2/2/2016

FINED: \$1,000

FULL - 15 DAYS, 12/15/2016 THRU  
12/29/2016

HORSE DISQUALIFIED, PURSE  
REDISTRIBUTION

**POSITIVE TEST- POST RACE**

Urine sample #832417 collected at Northfield Park on 2/2/16 from the horse CC Stormey was found to contain Methocarbamol at a concentration of 1.8+-0.3 micrograms per milliliter of urine. This exceeds the Regulatory Threshold of 1.0 microgram per milliliter adopted by the OSRC. All purse money must be returned (\$1,600.00). O.S.R.C.-3769-18-01(A)(2) 18-01(B)(1) 18-01(B)(10)&(13) 3769-18-02 18-99 3769-17-30(B) 17-33(A)&(B) Subject is barred from all tracks governed by the OSRC during his suspension. Any horses under subjects care that he wants to transfer must be approved by the judges or the OSRC.

***Status as of 11/30/2016 - Subsequent Ruling - Based on the facts set forth and the vote of the Commissioners, the Executive Director of the Ohio State Racing Commission enters this Adjudication Order directing that your license be suspended for fifteen (15) days; you pay a \$1000 fine and return the purse to Northfield Park. Your suspension shall begin December 15, 2016 and run through December 29, 2016.***

**GENDREAU, CLAUDE L**

**RIVERWOODS, IL**

**YOB  
1940**

**PHL** on 11/22/2016

**FINED: \$50**

**INDEFINITE SUSPENSION(IND) AS OF  
11/27/2016**

**FAILURE TO OBTAIN PROPER LICENSE**

PA Code 58-183.251 Failed to complete licensing requirements for 2016 in Pennsylvania. Please note further that you have the right to appeal this decision in writing to the State Horse Racing Commission within ten (10) days after notice of this decision. The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Fred Strathmeyer Phone (717) 787-5196

**NOLAN, PATRICIA J**

**CHARLOTTE, MI**

**YOB 1957**

**Nfld** on 10/19/2016

**INDEFINITE SUSPENSION(IND) AS OF  
11/22/2016**

**FALSIFICATION OF LICENSE APPLICATION**

Patricia Nolan Smith is hereby suspended until she contacts the judges at Northfield Park regarding her 2016 OSRC license application. O.S.R.C.-3769-12-26(A)(7) 12-99

**NOREN, BJORN**  
PcD on 9/4/2016

**EASTAMPTON, NJ**

**YOB 1976**

FINED: \$50  
INDEFINITE SUSPENSION(IND) AS OF  
11/15/2016

**FAILURE TO OBTAIN PROPER LICENSE**

PA Code 58-183.2 Mr. Noren has failed to complete his licensing requirements in Pennsylvania for 2016. He is fined \$50.00 and suspended indefinitely starting on 11/15/16 and continuing until he pays his fine and completes his licensing requirements.

**ROUSSE, JACKIE LYNN**  
Stga on 10/1/2016

**HUDSON FALLS, NY**

**YOB 1969**

FINED: \$250  
FULL - 15 DAYS, 11/28/2016 THRU  
12/12/2016

**POSITIVE TEST- POST RACE**

**TEMMING, CHRISTOPHER B**

**HUNTINGTON VALLEY, PA**

**YOB  
1990**

PHL on 11/17/2016

FINED: \$1,000  
FULL - 30 DAYS, 12/5/2016 THRU  
1/3/2017

**POSITIVE TEST- PRE RACE**

PA CODE 58-183.355,183.357,183.336,183.351 In race #5 on 11/17/2016 the horse Dragon Laws failed the pre-race blood gas test. Mr. Temming as trainer is in violation of PHRC medication and trainer responsibility rules. All transfers of horses trained by Mr. Temming must be approved by the Harrah`s Chester Judges or the PHRC. All fines must be paid within 10 days of ruling. (Appeal waiver signed)

PHL on 11/17/2016

FINED: \$2,500  
FULL - 60 DAYS, 1/4/2017 THRU  
3/4/2017

**POSITIVE TEST- PRE RACE**

PA CODE 58-183.355,183.357,183.336,183.351 In race #12 on 11/17/2016 the horse Spell To Cast failed the pre-race blood gas test (2nd offense). Mr. Temming as trainer is in violation of PHRC medication and trainer responsibility rules. All transfers of horses trained by Mr. Temming must be approved by the Harrah`s Chester Judges or the PHRC. All fines must be paid within 10 days of ruling. (Appeal waiver signed)

**TWADDLE, TIMOTHY P**

**CANONSBURG, PA**

**YOB 1962**

**Mea** on 8/9/2016

FINED: \$500

FULL - 15 DAYS, 12/10/2016 THRU  
12/24/2016

HORSE DISQUALIFIED - PLACED 9,  
PURSE REDISTRIBUTION

**POSITIVE TEST- POST RACE**

PA Code Title 58-183.352, 183.355, 183.357. Mr. Twaddle was the trainer of record for the horse HOT CHICA BOOMBA in the 7th Race at The Meadows on August 9, 2016. Post race analysis of sample #285095 showed the presence of CAPSACIN, a non-permitted Class 2 (penalty class B) substance in Pennsylvania. These results were confirmed in a split sample test. HOT CHICA BOOMBA finished 3rd, and is disqualified and placed 9th. All purse monies earned by the horse is ordered returned. Mr. Twaddle is hereby fined \$500, and issued a full 15 day suspension of his occupational license beginning December 10, 2016 through and including December 24, 2016. During the term of his suspension, Mr. Twaddle is ruled off all grounds under the jurisdiction of the PSHRC. Mr. Twaddle has 10 days to appeal this decision to the PSHRC, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

**PART THREE - WHIPPING VIOLATIONS**

**BROCKLEHURST, BRYCE H**

**NEW WILMINGTON, PA**

**YOB  
1989**

**Mea** on 11/28/2016

FINED: \$250

**FAILURE TO KEEP A LINE IN EACH HAND**

PA Code Title 58-183.264(b). Mr. Brocklehurst while driving #8 RAIL KAT in the 5th Race at The Meadows on November 28, 2016 did place both lines in one hand and whip his horse in the stretch. The fine is \$250, and is due by December 15, 2016. Mr. Brocklehurst has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

**SHAW, CHRISTOPHER S**

**MEADOW LANDS, PA**

**YOB 1986**

**Mea** on 11/28/2016

FINED: \$200

**INAPPROPRIATE USE OF THE WHIP**

PA Code Title 58-183.264(b). Mr. Shaw while driving #5 GYMNAST HANOVER in the 4th Race at The Meadows on November 28, 2016 did

whip his horse in the stretch using other than wrist and elbow action. The fine is \$200, and is due by December 15, 2016. Mr. Shaw has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

**WHITAKER, LADARRIUS  
MICHAEL**

**BEDFORD, OH**

**YOB 1994**

**Nfld** on 7/20/2016

**FINED: \$1,000**

**FULL - 182 DAYS, 12/14/2016 THRU  
6/13/2017**

**INAPPROPRIATE USE OF THE WHIP**

While driving #6 Morgans Majestic in race 12 on 7/20/16 he did whip the horse excessively while out of contention and he also whipped the horse after the finish. Mr. Whitaker then took Morgans Majestic to the back track after the race where he proceeded to whip the horse a few more times causing visible injury. His behavior was against the best interest of racing. He was abusive to the horse and showed no regard for its welfare. (Second Offense) REFERRED TO THE OSRC FOR FURTHER ACTION. O.S.R.C.-3769-12-26(A) 12-26(A)(9)&(10) 12-99 17-17(C)(I)&(L) 17-18 17-99 3769-1730(B) 17-33(A)&(B) Subject is barred from all tracks governed by the OSRC during his suspension. Any horses under subject's care that he wants to transfer must be approved by the judges or the OSRC.

***Status as of 11/30/2016 - Subsequent Ruling - At its regularly monthly meeting held on November 29, 2016, the Ohio State Racing Commission agreed to have the Acting Executive Director execute the settlement agreement entered into between you and the Commission. You agreed to a one hundred eighty (180) day full suspension starting December 14, 2016 through June 13, 2017. You agreed to a probation period from June 14, 2017 until December 11, 2017. During this probation period you cannot have any whip or horse abuse violation. If you have such a violation you will serve a six (6) month full suspension. You agreed to pay a fine of one thousand dollars (\$1,000.00) to the Commission.***

**Nfld** on 7/26/2016

**FINED: \$300**

**WHIP EXCEEDED LENGTH ALLOWED**

Mr. Whitaker entered the race track and post paraded #1 Morgans Majestic in race 1 on 7/26/16 with an illegal whip. O.S.R.C.-3769-17-17(K) 17-99

***Status as of 11/30/2016 - Subsequent Ruling - At its regularly monthly***

*meeting held on November 29, 2016, the Ohio State Racing Commission agreed to have the Acting Executive Director execute the settlement agreement entered into between you and the Commission. You agreed to pay a fine of three hundred dollars (\$300.00) to the Commission.*

#### PART FOUR - MINOR PENALTIES

<b>BEAIR, JACK L</b> Nfld on 11/22/2016 <b>LATE DRIVER CHANGE</b>	<b>STREETSBORO, OH</b> FINED: \$50	<b>YOB 1989</b>
<b>BERRY, PATRICK D</b> DD on 11/23/2016 <b>JOSTLE, STRIKE OR HOOK WHEELS</b>	<b>MONROE, NJ</b> FINED: \$300	<b>YOB 1976</b>
<b>CUSHING, CHARLENE</b> PRc on 11/22/2016 <b>LATE TO THE LASIX/ DETENTION BARN</b>	<b>FARMINGTON, ME</b> FINED: \$100	<b>YOB 1972</b>
<b>DOWSE, GARETH W</b> M on 10/29/2016 <b>RIDING TWO ON A SULKY</b>	<b>MONTGOMERY, NY</b> FINED: \$100	<b>YOB 1982</b>
<b>HOFFMAN, ROBERT W</b> PPk on 11/27/2016 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>COCONUT CREEK, FL</b> DRIVING - 3 DAYS, 11/29/2016 THRU 12/1/2016	<b>YOB 1971</b>
<b>KOCH, KENNETH F</b> Nfld on 11/27/2016 <b>FAILURE TO DRIVE WHEN PROGRAMMED</b>	<b>CLEVELAND, OH</b> FINED: \$50	<b>YOB 1964</b>
<b>KREISER, CORY</b> Mea on 11/21/2016 <b>FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN PADDOCK</b>	<b>MYERSTOWN, PA</b> FINED: \$100	<b>YOB 1993</b>
<b>KRIVELIN, ROBERT J</b> M on 11/4/2016	<b>GOSHEN, NY</b> DRIVING - 3 DAYS, 11/10/2016 THRU	<b>YOB 1951</b>



11/12/2016

**CHANGING COURSE/CAUSING INTERFERENCE**

<b>LACKEY, JAMES EMMETT</b> CaIX on 11/18/2016 <b>OTHER</b>	<b>SACRAMENTO, CA</b> FINED: \$200	<b>YOB 1952</b>
<b>LONG, CHRIS H</b> PRc on 11/25/2016	<b>SARATOGA SPRINGS, NY</b> FINED: \$100 HORSE PLACED 4 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>YOB 1967</b>
<b>MCNAIR, DOUGLAS R</b> M on 10/28/2016	<b>GUELPH, ON</b> FINED: \$100 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>YOB 1989</b>
<b>MERRIMAN, AARON EDWARD</b> Nfld on 11/28/2016	<b>NORTHFIELD, OH</b> FINED: \$25 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>YOB 1978</b>
<b>MERRIMAN, JASON RUSSELL</b> Nfld on 11/20/2016	<b>MONROE, MI</b> FINED: \$25 <b>INSIDE THE EURO RAIL / PYLON VIOLATION</b>	<b>YOB 1986</b>
<b>PALONE, DAVID M</b> Mea on 11/23/2016	<b>WASHINGTON, PA</b> FINED: \$250 <b>KICKING A HORSE</b>	<b>YOB 1962</b>
<b>PANTALEANO, CHRISTEN M</b> Mea on 11/19/2016	<b>CANONSBURG, PA</b> FINED: \$100 <b>FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN Paddock</b>	<b>YOB 1975</b>
<b>PANTALEANO, JAMES R</b> Mea on 11/23/2016	<b>CANONSBURG, PA</b> FINED: \$200 <b>FAILURE TO KEEP HOLE CLOSED</b>	<b>YOB 1962</b>
<b>PLANO, LUKE</b> CaIX on 11/18/2016	<b>SACRAMENTO, CA</b> FINED: \$100	<b>YOB 1980</b>

**FAILURE TO KEEP BOTH FEET IN THE STIRRUPS**

**STRATTON, DENELLE M**                      **MIDDLETOWN, NY**                      **YOB 1985**  
**M** on 10/29/2016                      **FINED: \$100**  
**RIDING TWO ON A SULKY**

**TAGGART, JIM R JR**                      **WILKES BARRE, PA**                      **YOB 1970**  
**MR** on 11/23/2016                      **FINED: \$50**  
**FAILURE TO OBEY THE INSTRUCTIONS OF A RACING OFFICIAL**

**WHITTEMORE, D JIMMY**                      **NORWAY, ME**                      **YOB 1983**  
**Scar** on 11/27/2016                      **FINED: \$100**  
**HORSE PLACED 6**  
**INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK**

**YODER, JOHNNY E**                      **DUNDEE, OH**                      **YOB 1981**  
**Nfld** on 11/28/2016                      **FINED: \$50**  
**LATE DRIVER CHANGE**