

FINES AND SUSPENSIONS BULLETIN

Fri, Jan 20 - Thu, Jan 26

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

KNIPPER, BILLY L
USTA on 1/5/2017

NORTHFIELD, OH **YOB 1960**
RESTORED TO GOOD STANDING(RES)
AS OF 1/25/2017

USTA MEMBERSHIP ACTION

Declared ineligible for membership for failure to answer correspondence regarding financial responsibility complaint (civil court judgment).

Status as of 1/25/2017 - Subsequent Ruling - Restored to good standing and eligible for licensing.

ROWLAND, DAVID S
USTA on 10/25/2016

MIDDLETOWN, OH **YOB 1982**
RESTORED TO GOOD STANDING(RES)
AS OF 1/25/2017

USTA MEMBERSHIP ACTION

Membership denied pursuant to the provision of Article 1, Section 4(a) of the Association bylaws.

Status as of 1/25/2017 - Subsequent Ruling - Restored to good standing.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with those published penalties.

Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.

AHTO, RAYMOND S
Fhld on 11/11/2016

COLTS NECK, NJ **YOB 1959**

POSITIVE TEST- POST RACE

Mr. Ahto was the programmed trainer of the horse "Rock n Roll Fan" which raced in the 1st race on November 11, 2016. Following the 1st race on November 11, 2016 "Rock n Roll Fan" was required to submit blood and urine sample for analysis. The blood sample taken on the horse "Rock n Roll Fan" on November 11, 2016 disclosed the presence of Omeprazole Sulfide. As a result Mr. Ahto is hereby fined the sum of two-hundred & fifty

(\$250.00) dollars.

Status as of 1/20/2017 - Subsequent Ruling - Due to technical correction in the ARCI Controlled Therapeutic Medication Schedule for Horses, the Commission hereby rescinds Ruling 16FRE125.

CRISLER, RANDY D

Lex on 11/3/2016

MASON, OH

RESTORED TO GOOD STANDING(RES)

AS OF 1/20/2017

YOB 1981

FAILURE TO PAY FINE(S)

Mr. Crisler is suspended for failure to pay fines J2016-019 \$50.00 and J2016-022 \$500.00 and return purse money of \$1200.00

Status as of 1/20/2017 - Subsequent Ruling - Randy Crisler has been reinstated in good standing in the state of Kentucky.

CROGHAN, ROSS A

M on 7/2/2016

MILLSTONE, NJ

YOB 1953

POSITIVE TEST- POST RACE

Mr. Croghan was the trainer of the horse "DABUNKA", which raced in the 4th race on Saturday, July 2, 2016 at the Meadowlands Racetrack and was given a post race blood test which disclosed the presence of Omeprazole Sulfide which exceeded allowable limits. As a result, Mr. Croghan is hereby fined the sum of two hundred and fifty (\$250.00) dollars. Violation of N.J.A.C. Rule 13:71-23.8(h) BY ORDER OF THE NEW JERSEY RACING COMMISSION BOARD OF JUDGES

Status as of 1/20/2017 - Subsequent Ruling - Due to technical correction in the ARCI Controlled Therapeutic Medication Schedule for Horses, the Commission hereby rescinds Ruling 16MDH62.

DALPHON, JOAN H

Mea on 1/13/2017

SMYRNA, DE

FINED: \$50

INDEFINITE SUSPENSION(IND) AS OF
1/23/2017

YOB 1931

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 183.267(8), 183.268. Ms. Dalphon failed to complete her licensing requirements in Pennsylvania. Ms. Dalphon is hereby fined \$50, and issued an indefinite suspension until such time she completes her licensing requirements. The fine is due by February 3, 2017. Ms. Dalphon has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

DAMPIER, LARRY
Nfld on 12/27/2016

AKRON, OH
FULL - 7 DAYS, 1/17/2017 THRU
1/23/2017

YOB 1956

FALSIFICATION OF LICENSE APPLICATION

Mr. Dampier falsified his 2017 OSRC license application by failing to list his prior arrest record. O.S.R.C.-3769-12-26(A)(7) 12-99

DROLET, JEAN LAURIER
M on 12/10/2016

MATAMORAS, PA
FINED: \$1,500

YOB 1946

POSITIVE TEST- POST RACE

There were 3 horses that were test on two different dates EVERY INTENTION, LYRA, and ROCKERS ALLEY. All of these horses tested positive DMSO when test on December 10, 2017 and December 15, 2017. Each horse has been fined \$500 for a total of \$1500.

ELLIOTT, STEVE M
Fhld on 8/5/2016

MOUNT LAUREL, NJ

YOB 1953

POSITIVE TEST- POST RACE

Mr. Elliott was the programmed trainer of the horse "NOT BEFORE EIGHT", which raced in the 6th race on Friday, August 5, 2016 at the Meadowlands Racetrack. Following the 6th race on Friday, August 5, 2016, "NOT BEFORE EIGHT" was required to submit blood samples for analysis. The blood sample taken on the horse "NOT BEFORE EIGHT" on August 5, 2016 disclosed the presence of Omeprazole. As a result, Mr. Elliott is hereby fined the sum of two hundred and fifty (\$250.00) dollars. Violation of N.J.A.C. Rule 13:71-23.8(h) BY ORDER OF THE NEW JERSEY RACING COMMISSION BOARD OF JUDGES

Status as of 1/20/2017 - Subsequent Ruling - Due to technical correction in the ARCI Controlled Therapeutic Medication Schedule for Horses, the Commission hereby rescinds Ruling 16FRE57.

Mea on 1/9/2017

RESTORED TO GOOD STANDING(RES)
AS OF 1/20/2017

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 58-183.267(8), 183.268. Mr. Elliott failed to complete his licensing requirements in Pennsylvania. Mr. Elliott is hereby fined \$50, and his license is indefinitely suspended until such time he fulfills his licensing requirements. The fine is due by January 20, 2017. Mr. Elliott has 10 days to appeal this decision to the PSHRC, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

Status as of 1/20/2017 - Subsequent Ruling - PA Code Title 183.269. Having completed his licensing requirements in Pennsylvania, and paying his fine associated with ruling #17005M, Mr. Elliott is hereby restored to good standing in Pennsylvania.

FARINA, ANTHONY R **MIDDLETOWN, NY** **YOB 1991**
Nfld on 12/30/2016 INDEFINITE SUSPENSION(IND) AS OF
1/24/2017

UNPAID OBLIGATION

Mr. Farina is hereby suspended until he pays the fine per ruling NFLD-2016-240 (\$1,000.00) and all purse money must be returned (\$1,600.00).
O.S.R.C.-3769-12-26(A)(6) 12-99 17-36 17-99 18-01(B)(13) 18-99

HARKNESS, HARLEY W **UXBRIDGE, ON** **YOB 1947**
Mea on 1/13/2017 FINED: \$50
INDEFINITE SUSPENSION(IND) AS OF
1/23/2017

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 58-183.267(8), 183.268. Mr. Harkness failed to complete his licensing requirements in Pennsylvania. Mr. Harkness is hereby issued a \$50 fine, and his license is indefinitely suspended until he completes his licensing requirements in Pennsylvania. The fine is due by February 3, 2017. Mr. Harkness has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

JONES, ARTHUR J **HONESDALE, PA** **YOB 1946**
PcD on 9/11/2016 FINED: \$2,500
FULL - 60 DAYS, 1/28/2017 THRU
3/28/2017

POSITIVE TEST- PRE RACE

PA Code Title 58-183.351, 183.355. Mr. Jones was the trainer of record for PRECIOUS in the 12th Race at Pocono Downs on September 11, 2016. The horse failed the pre-race blood gas test, and was scratched. Having waived his right to a hearing, but reserving his right to appeal, Mr. Jones is hereby fined \$2,500 and issued a full 60 day suspension of his occupational license to be served January 28, 2017 through and including March 28, 2017. This is his second offense. The fine is due by January 28, 2017. During the term of his suspension, Mr. Jones is ruled off all grounds under the jurisdiction of the PSHRC. Any trainer transfers of horses in his care

must be approved by the PSHRC. Mr. Jones has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

LAMBERT, AARON J

WRIGHTSTOWN, NJ

**YOB
1977**

M on 10/22/2016

POSITIVE TEST- POST RACE

Status as of 1/20/2017 - Subsequent Ruling - Due to technical correction in the ARCI Controlled Therapeutic Medication Schedule for Horses, the Commission hereby rescinds Ruling 16MDH77.

MARSHALL, DONNA J

JACKSON, NJ

YOB 1958

Fhld on 9/23/2016

POSITIVE TEST- POST RACE

Ms. Marshall was the programmed trainer of the horse "INUKCHUK CHUCK", which raced in the 2nd Non Betting race on September 23, 2016 at Freehold Raceway. Following the race on September 23, 2016 "INUKCHUK CHUCK" was required to submit blood samples for analysis. The blood sample taken on the horse "INUKCHUK CHUCK" disclosed the presence of Omeprazole. As a result, Ms. Marshall is hereby fined the sum of two hundred and fifty (\$250.00) dollars. Violation of N.J.A.C. Rule 13:71-23.8(h) BY ORDER OF THE NEW JERSEY RACING COMMISSION BOARD OF JUDGES

Status as of 1/20/2017 - Subsequent Ruling - Due to technical correction in the ARCI Controlled Therapeutic Medication Schedule for Horses, the Commission hereby rescinds Ruling 16FRE91.

MCNAIR, R GREGG

GUELPH, ON

YOB 1961

Fhld on 8/6/2016

POSITIVE TEST- POST RACE

Mr. McNair was the programmed trainer of the horse ""SOLAR SISTER", which raced in the 15th race on Saturday, August 6, 2016 at the Meadowlands Racetrack. Following the 15th race on Saturday, August 6, 2016, "SOLAR SISTER" was required to submit blood and urine samples for analysis. The blood sample taken on the horse "SOLAR SISTER" on August 6, 2016 disclosed the presence of Omeprazole. As a result, Mr. McNair is hereby fined the sum of two hundred and fifty (\$250.00) dollars. Violation of N.J.A.C. Rule 13:71-23.8(h) BY ORDER OF THE NEW JERSEY RACING

COMMISSION BOARD OF JUDGES

Status as of 1/20/2017 - Subsequent Ruling - Due to technical correction in the ARCI Controlled Therapeutic Medication Schedule for Horses, the Commission hereby rescinds Ruling 16FRE56.

MOORE, STEVEN H **MONGAUP VALLEY, NY** **YOB 1966**
Fhld on 9/17/2016 RESTORED TO GOOD STANDING(RES)
AS OF 1/21/2017

UNPAID OBLIGATION

Status as of 1/21/2017 - Subsequent Ruling - Mr. Moore is reinstated in New Jersey having paid the declaration fee on "If I Was Cool" for the Lou Babic Stake Race on 9/17/16 at Freehold Raceway. N.J.A.C. Rule 13:71-1.21 Financial Responsibility Related Ruling 16FRE95

PORTER, ERIC **TWINSBURG, OH** **YOB**
UNKN

Nfld on 12/28/2016 FINED: \$50

BAD CHECK

Mr. Porter issued a check for his 2017 OSRC license and it was returned for no sufficient funds. O.S.R.C.-3769-12-26(A)(6) 12-99

SVENDSEN, JODI L **LAKE VILLAGE, IN** **YOB 1969**
CaIX on 1/13/2017

OTHER

As a result of a hearing on CHRB complaint #16SW0046, Cal-Expo Board of Stewards issued the following ruling: licensee Jodi Svendsen is required to sign a test agreement and is placed on probation for the term of her license.

PART THREE - WHIPPING VIOLATIONS

CIUFFETELLI, ANTHONY A **GANSEVOORT, NY** **YOB**
1962

M on 11/18/2016 FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

KELLEY, JARETT W **NEW EGYPT, NJ** **YOB 1973**
M on 12/23/2016 FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

OLDFORD, STEPHEN E **CROSWELL, MI** **YOB 1958**
PPk on 1/21/2017 FINED: \$150
INAPPROPRIATE USE OF THE WHIP
Driver STEPHEN OLDFORD is hereby fined the sum of ONE HUNDRED FIFTY DOLLARS (\$150.00) for failing to pause while whipping JLS TOO HOT TAJ in Race 6 on 1/21/2017. (Second Offence). ALL FINES ARE DUE WITHIN TEN DAYS OF RULING.

PART FOUR - MINOR PENALTIES

BONGIORNO, JOSEPH R **MONROE, NJ** **YOB 1993**
Fhld on 1/13/2017 FINED: \$25
INSIDE THE EURO RAIL / PYLON VIOLATION

DEYERMAND, CORY G **DORSET, OH** **YOB 1989**
Nfld on 1/14/2017 FINED: \$50
FAILURE TO PROVIDE ADEQUATE OR PROPER DECLARATION INFORMATION

GRISMORE, GREG G **GROVE CITY, OH** **YOB 1970**
Nfld on 1/9/2017 FINED: \$100
HORSE PLACED 3
INSIDE THE EURO RAIL / PYLON VIOLATION

HALL, TIMOTHY S **NORTHFIELD, OH** **YOB 1974**
Nfld on 1/23/2017 FINED: \$50
LATE DRIVER CHANGE

HANNERS, ALICIA **SABINA, OH** **YOB 1979**
Nfld on 1/9/2017 FINED: \$50
LATE DRIVER CHANGE

IRVINE, DONALD JR **PITTSTON, PA** **YOB 1951**
Nfld on 1/17/2017 FINED: \$50
FAILURE TO DRIVE WHEN PROGRAMMED

KASH, KEITH J JR **MEDINA, OH** **YOB 1979**
Nfld on 1/17/2017 FINED: \$200
HORSE PLACED 9
FAILURE TO COMPLY WITH THE BREAKING RULE

KAUFFMAN, KAYNE K MVR on 1/21/2017 INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK MVR on 1/23/2017 IMPEDING THE PROGRESS OF ANOTHER HORSE	LEBANON, OH FINED: \$250 FINED: \$100	YOB 1978
KENNEDY, DAN S Nfld on 1/10/2017 FAILURE TO DRIVE WHEN PROGRAMMED	MACEDONIA, OH FINED: \$50	YOB 1954
MARSHALL, JAMES F III Fhld on 1/13/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	JACKSON, NJ FINED: \$25	YOB 1958
MERRIMAN, AARON EDWARD Nfld on 1/21/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	NORTHFIELD, OH FINED: \$50	YOB 1978
MILLER, BRETT A M on 12/16/2016 INSIDE THE EURO RAIL / PYLON VIOLATION	JOBSTOWN, NJ FINED: \$100	YOB 1973
MYERS, HUNTER R MVR on 1/22/2017 IMPEDING THE PROGRESS OF ANOTHER HORSE	WILLIAMSPORT, OH FINED: \$100	YOB 1998
PAGE, CHRIS L Nfld on 1/16/2017 FAILURE TO DRIVE WHEN PROGRAMMED	MT VERNON, OH FINED: \$300	YOB 1983
PEDEN, PHILIP R Nfld on 1/16/2017 FAILURE TO HAVE HORSE PROPERLY EQUIPPED	ADDISON, MI FINED: \$50	YOB 1952
PIOTROW, MARTA J MR on 1/18/2017 LATE DRIVER CHANGE	NESCOPECK, PA FINED: \$50	YOB 1983
PLANO, LUKE CaIX on 1/6/2017 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS	SACRAMENTO, CA FINED: \$200	YOB 1980

REED, KEVIN C M on 12/31/2016 FAILURE TO BE PROPERLY DRESSED	ALLENTOWN, NJ FINED: \$100	YOB 1960
SCICLUNA, CHRIS J Fhld on 1/5/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	CRANBURY, NJ FINED: \$25	YOB 1975
SMITH, TYLER ALAN Nfld on 1/4/2017 FAILURE TO DRIVE WHEN PROGRAMMED	WASHINGTON COURT HOU, OH FINED: \$200	YOB 1993
WOLFENDEN, ROSS T DD on 1/23/2017	FELTON, DE FINED: \$300 HORSE PLACED 6 CHANGING COURSE/CAUSING INTERFERENCE	YOB 1963