

FINES AND SUSPENSIONS BULLETIN

Fri, Feb 17 - Thu, Feb 23

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

***** NO RECORDS FOUND *****

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with those published penalties.

Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.

BATES, TYLER W

GIBSONBURG, OH

YOB 1963

DTN on 12/28/2016

POSITIVE TEST- POST RACE

Miami Valley Raceway O.S.R.C. Trainer TYLER BATES is hereby issued a warning for violation of Ohio State Racing Commission Rule 3769-18.01(A)(2), 3769-18-01(B)(1), 3769-18-01(B)(15-16-17), 3769-18-02 & 3769-18-99. Blood Sample #843942 tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on February 1, 2017 revealed a presence of Cobalt. The Cobalt concentration in the blood sample was determined to be at 29 + 0.1(ppb) of plasma. This exceeds the regulatory threshold of 25 parts per billion adopted by the OSRC. In addition, the horse FOREVER FRISKIE has been placed on the veterinarians list with removal from this list only after a blood test confirms that the concentration is below 25ppb of blood plasma or serum.

BAUSLAUGH, HONORA

DELAWARE, OH

YOB 1966

RENEE

Nfld on 1/7/2017

FINED: \$500

**FULL - 15 DAYS, 4/1/2017 THRU
4/15/2017**

**HORSE DISQUALIFIED, PURSE
REDISTRIBUTION**

POSITIVE TEST- POST RACE

Blood sample #837657 collected at Northfield Park on 1/7/17 from the horse Marigold Bloom showed the TCO2 concentration to be 42.6+-0.6 millimoles

per liter of serum. This exceeds the Regulatory Threshold of 37.0 millimoles per liter adopted by the OSRC. All purse money must be returned (\$3,400.00). O.S.R.C.-3769-18-01(B)(4)(d) 18-01(B)(10)&(13) 18-02 18-99 3769-17-30(B) 17-33(A)&(B) 17-99 Subject is barred from all grounds governed by the OSRC during her suspension. Any horses under subjects care that she wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

Nfld on 1/16/2017

FINED: \$1,000
FULL - 5 DAYS, 4/16/2017 THRU
4/20/2017
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Blood sample #843683 collected at Northfield Park on 1/16/17 from the horse Cooter Dunn showed the TCO2 concentration to be 41.4+-1.6 millimoles per liter of serum. This exceeds the Regulatory Threshold of 37.0 millimoles per liter adopted by the OSRC. All purse money must be returned (\$5,500.00). Second Offense. Due to mitigating circumstances the penalty was deemed appropriate. O.S.R.C.-3769-18-01(B)(4)(d) 18-01(B)(10)&(13) 18-02 18-99 3769-17-30(B) 17-33(A)&(B) 17-99 Subject is barred from all grounds governed by the OSRC during her suspension. Any horses under subjects care that she wants to transfer to another owner or trainer must be approved by the judges or the OSRC.

BREHM, RYAN

MARENGO, OH

**YOB
UNKN**

MVR on 1/18/2017

FINED: \$50

BAD CHECK

Miami Valley O.S.R.C 3769-17-42.(C) Groom RYAN BREHM is hereby fined the sum of fifty dollars (\$50.00) for violation of Ohio State Racing Commission Rule 3769-17-42.(C). Mr. Brehm`s check dated January 2, 2017 made out to the Ohio State Racing Commission for payment of license fees was returned due to non-sufficient funds.

CULLIPHER, ROGER D

CALVERT CITY, KY

YOB 1957

HoP on 5/31/2014

FINED: \$500
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

71 IAC 5-3-2 71 IAC 8-1-2 Sample #0044156 collected on 5/31/14 from the horse Next Thing Smoke`n was found to contain TRIPELENNAMINE. Split sample was waived. Mr. Cullipher as trainer is in violation of IHRC medication rules and the trainer responsibility rule. All purse money earned from race 9 on 5/31/14 must be returned and redistributed. Finished 1st- \$10,000.00 to be returned. This case was appealed to the IHRC and upon consideration assessed a penalty of \$500.00 fine and loss of purse. The IHRC decision was appealed to the Marion County(Indiana) Superior Court and was AFFIRMED.

HoP on 7/5/2014

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

71 IAC 5-3-2 71 IAC 8-1-2 Sample #00448062 collected on 7/5/14 from the horse Next Thing Smoke`n was found to contain TRIPELENNAMINE. Split sample was waived. Mr. Cullipher as trainer is in violation of IHRC medication rules and the trainer responsibility rule. All purse money earned from race 12 on 7/5/14 must be returned and redistributed. Finished 1st- \$37,500 to be returned. This case was appealed to the IHRC and upon consideration assessed a penalty of loss of purse. The IHRC decision was appealed to the Marion County Superior Court and was AFFIRMED.

FARINA, ANTHONY R
Nfld on 12/30/2016

MIDDLETOWN, NY

YOB 1991

RESTORED TO GOOD STANDING(RES)
AS OF 2/7/2017

UNPAID OBLIGATION

Mr. Farina is hereby suspended until he pays the fine per ruling NFLD-2016-240 (\$1,000.00) and all purse money must be returned (\$1,600.00).

O.S.R.C.-3769-12-26(A)(6) 12-99 17-36 17-99 18-01(B)(13) 18-99

Status as of 2/7/2017 - Subsequent Ruling - Mr. Farina has paid his fine and the purse has been returned to Northfield Park per ruling NFLD-2016-240. He is restored to good standing in Ohio. O.S.R.C.-3769-12-26(A)(6) 12-99

Nfld on 2/6/2017

INDEFINITE SUSPENSION(IND) AS OF
2/15/2017

BAD CHECK

The fine per ruling NFLD-2016-240 has not been paid because the check issued by Mr. Farina to the OSRC was returned for No Sufficient Funds. Therefore he is hereby suspended indefinitely. O.S.R.C.-3769-12-26(A)(6) 12-99

LANE, TIMOTHY E

MARYSVILLE, OH

YOB 1964

MVR on 1/8/2017

FINED: \$250

**LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION
(BUTE/LASIX)**

Miami Valley Raceway O.S.R.C. 3769-18-01.(B)(1)(b) Trainer TIM LANE is hereby fined the sum of two hundred and fifty dollars (\$250.00) for violation of Ohio State Racing Commission Rule 3769-18-01.(B)(1)(b). Blood Sample #834715 for horse DANI GIRL tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on January 23, 2017 showed a concentration of Flunixin at 47.7 +/- 4 ng/mL, which exceeds the O.S.R.C. regulatory threshold of 20 ng/mL. All fines are due within forty-eight hours of the date of this ruling.

LYNCH, CASSIE M

HARRINGTON, DE

**YOB
UNKN**

DD on 2/7/2017

INDEFINITE SUSPENSION(IND) AS OF
2/14/2017

POSITIVE TEST - ILLEGAL SUBSTANCE TEST

Cassie M Lynch is summarily suspended until she attends a hearing in front of the Board of Judges at Dover Downs for failing a human drug test which contained OXYCODONE/OXYMORPHONE.DHRC rule: 10.2.3; 5.1.8; 5.1.8.2; 5.1.8.2.7.

MOFFITT, BRAD R

BEECHER, IL

YOB 1968

HoP on 5/31/2014

FULL - 3654 DAYS, 3/18/2015 THRU
3/18/2025

POSITIVE TEST- POST RACE

71 IAC 8-1-1.5(a) 71 IAC 8-1-2 71 IAC 5-5-3-2 Sample collected from the horse Scotty Mach N on 5/31/14 was found to contain DARBEPOETIN ALPHA.(RCI Class 1-2) Mr. Moffitt as trainer is in violation of IHRC medication rules and the trainer responsibility rule. All purse money earned on 5/31/14 must be returned and redistributed. Finished 1st-\$8000.00 to be returned. Mr. Moffitt has reached a settlement agreement with the IHRC and has agreed to serve a 10 year full suspension beginning on 3/18/15 and continuing thru 3/18/2025. Purse redistribution #6 Thebestofjoel finished 2nd placed 1ST,Electric Lad placed 2nd,Roll On placed 3rd,I scoot Sam placed 4th, Southern Sport placed 5th.

NEWCAM, RANDALL K

KEMPTON, IN

YOB 1957

BmIP on 3/14/2015

RESTORED TO GOOD STANDING(RES)
AS OF 2/21/2017

FAILURE TO OBTAIN PROPER LICENSE

The temporary owner`s license of Randall Newcom is hereby suspended in the state of Illinois inasmuch as he has failed to comply with IRB licensing requirements (application).

Status as of 2/21/2017 - Subsequent Ruling - Having compiled with IRB licensing requirements, Randall K. Newcom is hereby restored to good standing.

ROTH, MICHAEL ALYN
HoP on 7/5/2014

ANDERSON, IN

YOB 1959

FINED: \$500

HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

71 IAC 5-3-2 71 IAC 8-1-2 Sample #0048052 collected on 7/5/14 from the horse Metro Shopping was found to contain TRIPELENNAMINE. Split sample was waived. Mr. Roth as trainer is in violation of IHRC medication rules and the trainer responsibility rule. All purse money earned on 7/5/14 from race 7 must be returned and redistributed. Finished 2nd-\$18,750.00 to be returned. This case was appealed to the IHRC and upon consideration assessed a penalty of \$500.00 fine and loss of purse. The IHRC decision was appealed to the Marion County (Indiana) Superior Court and was AFFIRMED.

THOMAS, REBECCA H
Nfld on 1/1/2017

CANTON, OH

YOB 1963

BAD CHECK

Ms. Thomas issued a check for her 2017 OSRC license and the check was returned for Not Sufficient Funds. O.S.R.C.-3769-12-26(A)(6) 12-99

Status as of 2/14/2017 - Subsequent Ruling - Ms. Thomas has paid her fine and license fees per ruling NFLD-2017-039. O.S.R.C.-3769-12-26(A)(6) 12-99

PART THREE - WHIPPING VIOLATIONS

DOBSON, BILLY ARTHUR
M on 2/4/2017

SCHAGHTICOKE, NY

YOB 1984

FINED: \$300

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

2/20/2017

CHANGING COURSE/CAUSING INTERFERENCE

CRAWFORD, KEITH H **LENNON, MI** **YOB 1946**
Nfld on 2/14/2017 FINED: \$100
JOSTLE, STRIKE OR HOOK WHEELS

EWING, GARY E **EASTON, MD** **YOB 1958**
DD on 2/9/2017 FINED: \$300
LATE TO THE LASIX/ DETENTION BARN

FARINA, LUIGI **CASERTA** **YOB 1966**
PPk on 2/19/2017 FINED: \$50
CROSSING OVER BEFORE THE START

FRANCO, JUAN **CRETE, IL** **YOB 1977**
MVR on 2/12/2017 FINED: \$100
IMPEDING THE PROGRESS OF ANOTHER HORSE

KENNEDY, MARK A **ELK GROVE, CA** **YOB 1984**
CaIX on 2/3/2017 FINED: \$200
HORSE PLACED 7
CHANGING COURSE/CAUSING INTERFERENCE

KOZAR, NICHOLAS **BENTLYVILLE, PA** **YOB 1981**
Nfld on 9/10/2016 OTHER(AAL99) AS OF 2/21/2017
OTHER

LEAGER, THOMAS R **SUDLERSVILLE, MD** **YOB 1959**
DD on 2/13/2017 FINED: \$300
LATE TO THE LASIX/ DETENTION BARN

LOUGH, TYLOR J **SPRINGFIELD, OH** **YOB 1987**
MVR on 2/13/2017 FINED: \$250
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK

MERRIMAN, AARON EDWARD **NORTHFIELD, OH** **YOB 1978**
Nfld on 2/11/2017 FINED: \$50
INSIDE THE EURO RAIL / PYLON VIOLATION

POLK, PAMELA R **BERLIN, MD** **YOB 1955**
DD on 2/13/2017 FINED: \$100

LATE TO THE PADDOCK

SMITH, JEREMY R MVR on 2/6/2017 IMPEDING THE PROGRESS OF ANOTHER HORSE	WASHINGTON CH, OH FINED: \$100	YOB 1980
WILDER, W MIKE Mea on 2/20/2017 CHANGING COURSE/CAUSING INTERFERENCE	WASHINGTON, PA FINED: \$200 HORSE PLACED 3	YOB 1972