

FINES AND SUSPENSIONS BULLETIN

Fri, Mar 24 - Thu, Mar 30

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

GIEGOLD, A WARREN
USTA on 6/28/2016

LAOTTO, IN **YOB 1983**
RESTORED TO GOOD STANDING(RES)
AS OF 3/28/2017

USTA MEMBERSHIP ACTION

Membership denied pursuant to the provisions of Article 1, Section 4(a) of the Association bylaws.

Status as of 3/28/2017 - Subsequent Ruling - Restored to good standing.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with those published penalties.

Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.

ADAMS, KATRICIA LYNN
Mea on 3/12/2017

KITTANNING, PA
FINED: \$250

YOB 1969

FAILURE TO COMPLY WITH WORKMEN'S COMPENSATION RULES

PA Code Title 183.272. Ms. Adams employed a groom in her barn at The Meadows on March 12, 2017 without providing Workmen's Compensation Insurance. Having waived her right to a formal hearing, and her right to appeal, Ms. Adams is hereby fined \$250. The fine is due by April 8, 2017.

BALIGA, JOSEPH M
HoP on 9/30/2016

DALEVILLE, IN
FINED: \$20,000

YOB 1955

FULL - 1826 DAYS, 10/1/2016 THRU
9/30/2021

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

71 IAC 8-1-1.5 71 IAC 8-1-2 71 IAC 8-5-5 71 IAC 8-5-12 On November 10, 2016 the Indiana Horse Racing Commission filed Administrative Complaint No. 216003 against Dr. Joseph Baliga. The Administrative Complaint alleged that Dr. Baliga, while acting as Lasix Administration Veterinarian on

the grounds of Hoosier Park on September 30, 2016, administered a substance other than Lasix to the standardbred race horse IAM Bonasera, who was entered in race 5 on September 30, 2016. The Administrative Complaint was the subject of a Final Order adopted by the Indiana Horse Racing Commission(hereafter IHRC), which ORDERED: 1. Dr. Baliga was suspended and shall remain ineligible for licensure for a period of five years; 2. Dr. Baliga was permanently barred from Lasix administration at Indiana race tracks; and 3. Dr. Baliga was fined the sum of \$20,000

BROWER, BOBBY A
HoP on 8/16/2016

MUNCIE, IN **YOB 1962**
FINED: \$40,000
FULL - 5480 DAYS, 3/15/2017 THRU
3/15/2032

ANY ACT OF CRUELTY TO A HORSE

): 71 IAC 5-1-14(b)(4); 71 IAC 5-1-14(b)(9); 71 IAC 5-1-14(b)(10); 71 IAC 5-1-14(b)(16); 71 IAC 5-3-3(a)(5); 71 IAC 5-3-3(a)(18); 71 IAC 5-3-3(a)(27); IC 4-31-6-6(b)(4); IC 4-31-6-6(b)(9); IC 4-31-6-6(b)(10); IC 4-31-6-6(b)(15). On November 14, 2016, the Indiana Horse Racing Commission Staff filed Administrative Complaint No. 216005 against Bobby Brower. Specifically, the Administrative Complaint alleged Mr. Brower mistreated, abused and engaged in acts of cruelty towards the horse B ABland by training and beating B ABland to the point the horse suffered injury and shortly thereafter collapsed from exhaustion on or about August 18, 2016. The Administrative Complaint was the subject of a Final Order adopted by the Indiana Horse Racing Commission which ORDERED: 1. Mr. Brower is fined the sum of \$40,000; and 2. Mr. Brower is suspended for a period of fifteen (15) years.

CAROTENUTO, ELIZABETH J
Stga on 3/17/2017

FREEHOLD, NJ **YOB 1967**
FINED: \$500

FAILURE TO COMPLY WITH WORKMEN'S COMPENSATION RULES

CRISSMAN, TIM D
DD on 3/23/2017

DOVER, DE **YOB 1960**
FINED: \$500

CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

Trainer Tim D Crissman was responsible for the wrong horse being shipped off the grounds on March 23, 2017 at Dover Downs which resulted in RODDY`S HOT AGAIN being scratched. The trainer is responsible for the proper identity of his/her horse. DHRC rule: 8.5.5.1. Mr. Crissman had a Judge`s hearing on March 27, 2017 at Dover Downs. Fines are due within

ten days of written rule. Appeals are due within 48 hours of written rule.

DROLET, MARTHE
YR on 1/30/2017

MATAMORAS, PA **YOB 1946**
FINED: \$500
FULL - 15 DAYS, 3/1/2017 THRU
3/15/2017
HORSE DISQUALIFIED - PLACED 7,
PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

GREEN, JOHN THOMAS
Nfld on 2/22/2017

OAKWOOD VILLAGE, OH **YOB 1935**
FINED: \$1,000
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Blood sample #844850 collected at Northfield Park on 2/22/17 from the horse Franco Natura N was found to contain dimethyl sulfoxide (DMSO) at a concentration of 32.4+-0.5 micrograms per milliliter of plasma. This concentration exceeds the Regulatory Threshold of 10 micrograms per milliliter adopted by the OSRC. All purse money must be returned (\$1,750.00). O.S.R.C.-3769-18-01(B)(10)&(13) 18-02 18-99

HANNERS, ALICIA
Nfld on 3/4/2017

SABINA, OH **YOB 1979**
INDEFINITE SUSPENSION(IND) AS OF
3/22/2017

UNPAID OBLIGATION

Ms. Hanners is hereby suspended until she pays the fine per ruling NFLD-2017-062 (\$100.00) at Northfield Park. O.S.R.C.-3769-17-36 17-99

HARMER, TOM GLENN
Nfld on 2/22/2017

POMPANO BEACH, FL **YOB 1950**
INDEFINITE SUSPENSION(IND) AS OF
3/27/2017

JUDGMENT

An Adjudication Order has been entered by the Ohio State Racing Commission and the Executive Director of the OSRC directing that Thomas G Harmer's license issued by the Commission shall be suspended beginning March 15,2017 until the judgment of the Circuit Court of the Eighteenth Judicial Court, County of DuPage, Illinois, case #2011 L 313 is fully satisfied. O.S.R.C.-3769-12-26(A)(6) 12-99 Subject is barred from all tracks governed by the OSRC during his suspension. Any horses under

subject's care that he wants to transfer must be approved by the judges or the OSRC.

HARMON, KRISTA J
HoP on 10/15/2016

JACKSON, MI **YOB 1972**
FINED: \$1,500
FULL - 228 DAYS, 10/15/2016 THRU
5/30/2017

POSSESSION OF HYPODERMIC NEEDLES, SYRINGES AND/OR INJECTABLE AND/OR OTHER DRUGS

IAC 71 8-7-1 On February 13, 2017, the Indiana Horse Racing Commission Staff filed Administrative Complaint No. 217003 against Krista Harmon. The Administrative Complaint alleged that Harmon violated 71 IAC 8-7-1 (contraband). On February 14, 2017, Harmon and the IHRC Staff reached a settlement in the matter. The Settlement Agreement was approved by the Indiana Horse Racing Commission (hereafter "IHRC"). The Settlement Agreement provides: 1. Harmon is suspended for 228 days, from October 15, 2016 through May 30, 2017, with 60 days suspended; 2. In the event of future integrity violations, Harmon will serve the 60 days of suspension that has been stayed; 3. Harmon is fined \$1,500.00

JOHNSON, RICHARD
YR on 1/8/2017

TOMS RIVER, NJ **YOB 1960**
FINED: \$3,000
FULL - 75 DAYS, 3/1/2017 THRU
5/14/2017
HORSE DISQUALIFIED - PLACED 10,
PURSE REDISTRIBUTION
APPEALED - 3/25/2017

OTHER

Status as of 3/25/2017 - Appeal - Mr. Johnson has appealed the results of the ruling on this test. He has requested a split sample to a lab of his choice. He has been granted a stay.

ROBERTSON, BRITTANY M
Mea on 3/14/2017

PINEBUSH, NY **YOB 1991**
RESTORED TO GOOD STANDING(RES)
AS OF 3/28/2017

FAILURE TO OBTAIN PROPER LICENSE

PA Code Title 58-183.267(8). Ms. Robertson failed to complete her licensing requirements in Pennsylvania in a timely manner. Ms. Robertson is hereby fined \$50, and suspended until such time she completes her licensing requirements with the PSHRC. The fine is due by March 25, 2017.

Ms. Robertson has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

Status as of 3/28/2017 - Subsequent Ruling - PA Code Title 183.256. Having completed her licensing requirements in Pennsylvania, and paying her outstanding fine, Ms. Robertson's license is hereby restored to good standing.

TODD, TONY D SOUTH LEBANON, OH YOB 1961
MVR on 2/28/2017 FINED: \$100
CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE RACING

Miami Valley Raceway O.S.R.C. 3769-14-24 Trainer TONY TODD is hereby fined the sum of one hundred dollars (\$100.00) for violation of Ohio State Racing Commission Rule 3769-14-24. After the fifth race on February 28, 2017, Mr. Todd as the trainer of record and responsible for the care of his horse at all times did leave his horse unattended in the paddock area for several hours. Security personnel had to contact Mr. Langley who was in the grandstand area during this time and asked that the horse be removed from the paddock area. All fines are due within forty-eight hours of this ruling.

PART THREE - WHIPPING VIOLATIONS

KENNEDY, MARK A ELK GROVE, CA YOB 1984
CaIX on 3/11/2017 FINED: \$100
INAPPROPRIATE USE OF THE WHIP

RAWLINGS, DAN J. WASHINGTON, PA YOB 1981
Mea on 3/21/2017 FINED: \$200
INAPPROPRIATE USE OF THE WHIP

PA Code Title 58-183.264(b). Mr. Rawlings while driving #4 SILVER STING in the 3rd Race at The Meadows on March 21, 2017 did whip his horse in the stretch using other than wrist and elbow action. The fine is \$200, and is due by April 3, 2017. Further violations of this nature may result in greater penalties. Mr. Rawlings has 10 days to appeal this decision to the Pennsylvania State Horse Racing Commission, Bureau of Standardbred Racing. The address to appeal appears at the bottom of this ruling.

3/23/2017

HELP ANOTHER HORSE IMPROVE ITS POSITION

BUTER, TYLER L YR on 2/26/2017 FAILURE TO COME UP/STAY IN POSITION	MIDDLETOWN, NY FINED: \$100	YOB 1985
CAROTENUTO, ELIZABETH J Stga on 3/4/2017 FAILURE TO HONOR DECLARATION	FREEHOLD, NJ FINED: \$250	YOB 1967
CHARTRAND, ALEK R Stga on 2/19/2017 LATE DRIVER CHANGE	SARATOGA SPRINGS, NY FINED: \$50	YOB 1984
COBLENTZ, ROBERT R Nfld on 3/27/2017 LATE DRIVER CHANGE	APPLE CREEK, OH FINED: \$50	YOB 1966
COPPOLA, FRANK J JR Stga on 2/19/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	BALLSTON SPA, NY FINED: \$50	YOB 1959
DE SIMONE, JOHN S JR. MR on 3/27/2017 KICKING A HORSE	KIAMESHA LAKE, NY FINED: \$100	YOB 1958
DERUE, JORDAN Stga on 2/19/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	FT EDWARD, NY FINED: \$50	YOB 1990
DEWHURST, DAVID M YR on 2/21/2017 FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN Paddock	CLINTON, NY FINED: \$100	YOB 1966
DINGES, TONY L PPk on 3/27/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	MARGATE, FL FINED: \$100	YOB 1978
EBERSOLE, LUKE C.	CHESTERVILLE, OH	YOB 1990

Nfld on 3/27/2017	FINED: \$50	
FAILURE TO PARTICIPATE IN THE POST PARADE		
FORCUM, GREGORY J	CHILLICOTHE, OH	YOB 1961
MVR on 3/7/2017	FINED: \$250	
OTHER		
FORD, MARK S	CAMPBELL HALL, NY	YOB 1970
PcD on 3/21/2017	FINED: \$100	
LEAVING HORSE UNATTENDED		
FRANCIS, CLYDE J	HOUSTON, DE	YOB 1957
PcD on 3/21/2017	FINED: \$100	
NO VACCINATION / COGGINS / HEALTH CERTIFICATE		
GALE, TRUMAN E	VERNON, NY	YOB 1989
Stga on 3/16/2017	FINED: \$50	
GOING THROUGH POST WHILE WARMING UP		
HALL, TIMOTHY S	NORTHFIELD, OH	YOB 1974
Nfld on 3/18/2017	FINED: \$250	
	HORSE PLACED 9	
CHANGING COURSE/CAUSING INTERFERENCE		
HANNERS, ALICIA	SABINA, OH	YOB 1979
Nfld on 3/4/2017	RESTORED TO GOOD STANDING(RES)	
	AS OF 3/23/2017	
OTHER		
HANNERS, BRADLEY A	SABINA, OH	YOB 1999
MVR on 3/26/2017	FINED: \$250	
INTERFERENCE/ CAUSING ANOTHER HORSE TO MAKE A BREAK		
HARVEY, NAQUEL ANNE	HOUSTON, PA	YOB 1976
Mea on 3/25/2017	FINED: \$200	
	HORSE PLACED 6	
JOSTLE, STRIKE OR HOOK WHEELS		
HOLLAND, BRENT	FRISCO, TX	YOB 1971
YR on 3/27/2017	FINED: \$100	
FAILURE TO DRIVE WHEN PROGRAMMED		

JOHNSON, RICHARD YR on 2/18/2017 LATE DRIVER CHANGE	TOMS RIVER, NJ FINED: \$100	YOB 1960
KAKALEY, MATTHEW DON YR on 2/26/2017 FAILURE TO COME UP/STAY IN POSITION	MOUNTAIN TOP, PA FINED: \$100	YOB 1988
KASH, KEITH J JR Nfld on 3/22/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	MEDINA, OH FINED: \$50	YOB 1979
KING, KERRY L DD on 3/16/2017 HORSE LATE TO THE PADDOCK	LAUREL, DE FINED: \$100	YOB 1946
LANDY, HARRY A YR on 3/4/2017 FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN PADDOCK	MONROE TOWNSHIP, NJ FINED: \$100	YOB 1992
LANGLEY, BARRY W MVR on 2/28/2017 LEAVING HORSE UNATTENDED	LEBANON, OH FINED: \$100	YOB 1985
MACDONALD, MARK J YR on 3/6/2017 KICKING A HORSE YR on 3/27/2017 KICKING A HORSE	MIDDLETOWN, NY FINED: \$100 FINED: \$250	YOB 1978
MC DOWELL, RICHARD B Nfld on 3/20/2017 LATE DRIVER CHANGE	IDA, MI FINED: \$50	YOB 1948
MERRIMAN, AARON EDWARD Nfld on 3/20/2017 FAILURE TO DRIVE WHEN PROGRAMMED	NORTHFIELD, OH FINED: \$100	YOB 1978
MERTON, ROBERT H JR	MONGAUP VALLEY, NY	YOB 1964

MR on 3/27/2017	FINED: \$50	
WARMING UP HORSE WITHOUT SILKS		
MYERS, HUNTER R	WILLIAMSPORT, OH	YOB 1998
Nfld on 3/22/2017	FINED: \$50	
INSIDE THE EURO RAIL / PYLON VIOLATION		
NAPOLITANO, ANTHONY J	NESCOPECK, PA	YOB 1981
PcD on 3/25/2017	FINED: \$200	
CHANGING COURSE/CAUSING INTERFERENCE		
NASSIMOS, JOSEPH C	VERNON CENTER, NY	YOB 1968
Stga on 3/16/2017	FINED: \$50	
GOING THROUGH POST WHILE WARMING UP		
NEAL, ERIC J	PUNXSUTAWNEY, PA	YOB 1991
Mea on 3/21/2017	FINED: \$200	
	DRIVING - 3 DAYS, 4/8/2017 THRU 4/10/2017	
	HORSE PLACED 7	
CARRY A HORSE OUT		
NOBLE, DANIEL N	XENIA, OH	YOB 1983
MVR on 3/20/2017	FINED: \$100	
JOSTLE, STRIKE OR HOOK WHEELS		
MVR on 3/25/2017	FINED: \$250	
TAKE UP ABRUPTLY/SIT DOWN IN FRONT OF HORSES CAUSING CONFUSION AMONG TRAILING HORSES		
PROVOST, BRAD S	STRABANE, PA	YOB 1977
Mea on 3/27/2017	FINED: \$250	
KICKING A HORSE		
RIEDEL, JODY L	PORT JERVIS, NY	YOB 1965
PcD on 3/21/2017	FINED: \$100	
NO VACCINATION / COGGINS / HEALTH CERTIFICATE		
RUIZ, MATIAS S	WINDGAP, PA	YOB 1958
PcD on 3/21/2017	FINED: \$200	
LEAVING HORSE UNATTENDED		

RUSSO, DAVID S PcD on 3/25/2017 FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN Paddock	EDEN, NY FINED: \$100	YOB 1976
SCHOEFFEL, STEPHEN J Mea on 3/22/2017 KICKING A HORSE	EVANS CITY, PA FINED: \$250	YOB 1970
SIMONS, MICHAEL H PPk on 3/21/2017 DELAYING THE START	PEN ARGYL, PA FINED: \$50	YOB 1961
SUTTON, JOSHUA MVR on 3/24/2017 FAILURE TO DRIVE WHEN PROGRAMMED	CLARKSVILLE, OH FINED: \$50	YOB 1982
TETRICK, TIM A DD on 2/22/2017 CHANGING COURSE/CAUSING INTERFERENCE	WOOLWICH TWP, NJ FINED: \$300 HORSE PLACED 5	YOB 1981
WRENN, RONNIE H JR. Nfld on 3/27/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	STOW, OH FINED: \$50	YOB 1986