

FINES AND SUSPENSIONS BULLETIN

Fri, Jun 30 - Thu, Jul 6

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

**NORTHFIELD PARK
ASSOCIATES**

USTA on 6/15/2017

NORTHFIELD, OH

**INDEFINITE SUSPENSION(IND) AS OF
6/15/2017**

APPEALED - 6/30/2017

**YOB
UNKN**

USTA MEMBERSHIP ACTION

Membership suspended per Rule 20, Section 9 (financial irresponsibility) and Article 1, Section 8 (revocation or suspension of membership) of the Association bylaws.

Status as of 6/30/2017 - Appeal

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with those published penalties.

Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.

ALLARD, RENE M
PHL on 11/17/2016

MATAMORAS, PA

**FINED: \$1,000
HORSE DISQUALIFIED - PLACED 8,
PURSE REDISTRIBUTION**

YOB 1987

POSITIVE TEST- POST RACE

PA CODE 58-183.352 183.355 183.357 183.336 Sample #309306 collected on 11/17/2016 from the horse Rumor Mill was found to contain Isoflupredone. Rene Allard as trainer is in violation of PHRC medication rules and the trainer responsibility rule. Mr. Allard has waived his hearing before the board judges and proceed right to appeal. All purse money earned on 11/17/2016 must be returned within 10 days of ruling and redistributed. Fine must be paid within 10 days of ruling. Please note further that you have the right to appeal this decision in writing to the State Horse Racing Commission within ten (10) days after notice of this decision. The mailing address for an appeal is: The State Horse Racing Commission 2301

North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Brett Revington Phone (717) 787-5196

Status as of 7/6/2017 - Subsequent Ruling - Ruling # 17038C The Pennsylvania State Horse Racing Commission, Bureau of Standardbred Horse Racing, has received in writing that Rene Allard has withdrawn his appeal of Harrah's Philadelphia Judges Ruling No. 16191CD. The fine of one-thousand (\$1,000.00) dollars imposed against Mr. Allard is due no later than July 19, 2017.

BORKE, JAMES M
PHL on 8/21/2016

OLD BRIDGE, NJ **YOB 1972**
FINED: \$5,000
FULL - 1826 DAYS, 6/28/2017 THRU
6/27/2022
HORSE DISQUALIFIED - PLACED 8,
PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

CODE 58-183.352 183.355 183.357 183.336 Sample #310792 collected on 8/21/2016 from the horse Perrito Caliente was found to contain Darbepoetin. James Borke as trainer is in violation of PHRC medication rules and the trainer responsibility rule. All purse money earned on 8/21/2016 must be returned within 10 days of ruling and redistributed. Fine must be paid within 10 days of ruling. All transfers of horses trained by Mr. Borke must be approved by the judges. Please note further that you have the right to appeal this decision in writing to the State Horse Racing Commission within ten (10) days after notice of this decision. The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Fred Strathmeyer Phone (717) 787-5196

Status as of 7/5/2017 - Subsequent Ruling - RULING # 17036C ORDER AND NOW, this 28th day of June, 2017, upon consideration of the evidentiary record, it is ORDERED that Ruling No. 16137CD of the Board of Judges dated October 16, 2016 is AFFIRMED. For his violation of 58 Pa. Code 183.336, 183.325(b) and 183.357, James M. Borke is hereby fined Five Thousand Dollars (\$5,000.00) and suspended 1825 days, 06/28/2017 through 06/27/2022. The fine of \$5,000.00 is due no later than 06/27/2022.

DAILEY, JAMES

MECHANICSBURG, OH

YOB
1960

ScD on 6/28/2017

FINED: \$250

IMPROPER CONDUCT OR LANGUAGE TO AN OFFICIAL

Scioto Downs O.S.R.C 3769-17-16(a) Trainer JAMES DAILEY is hereby fined the sum of two hundred and fifty dollars (\$250.00) for violation of Ohio State Racing Commission Rule 3769-17-16.(a). Mr. Dailey did conduct himself in an unprofessional manner by using improper language to a racing official (track vet). All fines are due within forty-eight hours of the date of this ruling.

DE FRANCESCO, ANTHONY III PHILADELPHIA, PA

**YOB
1969**

PHL on 11/11/2016

FINED: \$20,000

FULL - 731 DAYS, 4/25/2017 THRU
4/25/2019

HORSE DISQUALIFIED - PLACED 8,
PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

CODE 58-183.352 183.354 183.355 183.357 183.336 Sample # 309251 collected on 11/11/2016 from the horse ER Room tested positive for JWH-250 (Synthetic Cannabis). Mr. DeFrancesco as trainer is in violation of PHRC medication rules and the trainer responsibility rule. All purse money earned on 11/11/2016 must be returned within 10 days of ruling and redistributed. All transfers of horses must be approved by the judges or the PHRC. All fines must be paid within 10 days of ruling. Please note further that you have the right to appeal this decision to the state horse racing commission within 10 days after notice of this decision. The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron St Room 301 Harrisburg PA, 17110 Attn: Brett Revington

Status as of 7/5/2017 - Subsequent Ruling - RULING # 17035c ORDER AND NOW, this 28th day of June, 2017, upon consideration of the testimony and exhibits of record, it is hereby ORDERED as follows: Ruling No. 17002CD, which fined Anthony R. DeFrancesco, III Ten Thousand(\$10,000.00) Dollars; suspended him for three hundred sixty-five (365) days, 04/25/2017 through 04/24/2018; disqualified his horse placing it 7th and directed that the purse be redistributed, based upon a finding that the horse, "Sawbuck Hanover" trained by DeFrancesco, tested positive for the drug JWH-250 (Synthetic Cannabis) following the 6th race on November 2, 2016, at Harrah's Philadelphia Racetrack, in violation of the Commission's medication and trainer responsibility

rules 58 PA. Code 183.352, 183354, 183.355, 183.357 and 183.336, is AFFIRMED. Ruling No. 17003CD, which fined Anthony R. DeFrancesco III, Ten thousand (\$10,000.00) Dollars; suspended him for one thousand ninety-five days (1095), 04/25/2018 through 04/23/2021; disqualified his horse placing

GIANNARIS, PETER G

COATESVILLE, PA

**YOB
1953**

PHL on 6/2/2017

FINED: \$10,000

**FULL - 365 DAYS, 7/10/2017 THRU
7/9/2018**

**HORSE DISQUALIFIED - PLACED 8,
PURSE REDISTRIBUTION**

APPEALED - 7/5/2017

POSITIVE TEST- POST RACE

CODE 58-183.352 183.354 183.355 183.357 183.336 Sample #310255 collected on 6/2/2017 from the horse Onhishonor Hanover tested positive for Methadone. Mr. Giannaris as trainer is in violation of PHRC medication rules and the trainer responsibility rule. All purse money earned on 6/2/2017 must be returned within 10 days of ruling and redistributed. All transfers of horses must be approved by the judges or the PHRC. All fines must be paid within 10 days of ruling.

Status as of 7/5/2017 - Appeal - The request by Peter Giannaris for an appeal of Harrah's Philadelphia Judges Ruling No. 17089C is hereby GRANTED. The supersedes is also GRANTED.

GIVENS, LESLIE O

SEAFORD, DE

YOB 1960

PHL on 6/7/2017

FINED: \$500

**FULL - 15 DAYS, 7/28/2017 THRU
8/11/2017**

**HORSE DISQUALIFIED - PLACED 8,
PURSE REDISTRIBUTION**

POSITIVE TEST- POST RACE

PA Code 58-183.336 .352 .355 .357 Sample #310312 collected after race 10 on 6/7/2017 from the horse Autotune Hanover was found to contain capsaicin. This is in violation of the Pennsylvania medication rules. He is fined \$500.00 and suspended 15 days (full). During this suspension he and all horses owned and trained by him are barred from all grounds under the jurisdiction of The State Horse Racing Commission. He cannot transfer any

POSITIVE TEST- POST RACE

205 CMR 3.29 (1) On 5/17/2017 Industrial Labs reported a positive post race test result for SOUTHWIND MISCHIEF who raced at Plainridge Park on 5/9/2017 in Race 1 and finished 4TH. After waiving her right to a split sample and a hearing that was held on 6/27/17, Elisha Lafreniere, the trainer of record , is hereby ordered to return all purse monies won (USD 360.00). Fines are due within 48 hours to the racing division of the MGC. All appeals must be filed in writing to the racing division of the MGC within 10 days.

LLOPEZ, IVAN S

MILLSTONE TWP, NJ

YOB 1972

PHL on 5/7/2017

FINED: \$50

BAD CHECK

Ruling # 17032C Ivan Llopez, is hereby fined the sum of Fifty (\$50.00) dollars for presenting a Bad Check/Insufficient Funds which is hereby levied by this Commission in violation of PA Code Title 58 § 183.402. Please send a separate payment in the form of Cashier's Check or Money Order for the amount of Two Hundred Forty (\$240.00) dollars for the license fee and Fifty (\$50.00) dollars for the fine to a Commission representative at one of the below listed racetracks. Upon receipt of your payment, a Commission review will be initiated and the future status of your license will then be determined. State Horse Racing Commission The Meadows P.O. Box 427 Race Track Road Meadow Lands, PA 15347 State Horse Racing Commission Pocono Downs 1280 Highway 315 Wilkes Barre, PA 18702 State Horse Racing Commission Harrah`s Philadelphia Casino& Racetrack 1001 Harrah`s Blvd Chester, PA 19013 Note that failure to pay this fine by July 6, 2017, may result in the suspension of your license. Please n

SINCLAIR, MICHAEL J

SAYRE, PA

YOB 1969

PcD on 6/28/2017

**INDEFINITE SUSPENSION(IND) AS OF
6/28/2017**

OTHER

Ruling # 17039C The Pennsylvania State Horse Racing Commission (Commission) licenses of Michael J. Sinclair are hereby temporarily suspended pending the Commission's investigatory review of a license suspension action taken by the New York State Gaming Commission (Notice Number: MO 68-2017) for a June 10, 2017, incident at Tioga Downs, NY. On June 28, 2017, Mr. Sinclair declined to provide a Commission investigator a statement regarding the alleged incident until

(\$300.00) at Northfield Park. O.S.R.C.-3769-17-36 17-99

Status as of 6/27/2017 - Subsequent Ruling - Mr. Tharps has paid his fines per rulings NFLD-2017-071 and 101 and is restored to good standing in Ohio. O.S.R.C.-3769-17-36 17-99

WALLACE, FRED
PHL on 6/13/2017

NEW YORK, NY **YOB 1940**
RESTORED TO GOOD STANDING(RES)
AS OF 7/2/2017

FAILURE TO OBTAIN PROPER LICENSE

PA CODE 58-183.251 Failed to complete licensing requirements for 2017 in Pennsylvania. All fines due within 10 days of ruling. Please note further that you have the right to appeal this decision in writing to the State Horse Racing Commission within ten (10) days after notice of this decision. The mailing address for an appeal is: The State Horse Racing Commission 2301 North Cameron Street Room 301 Harrisburg PA, 17110 ATTN: Brett Revington Phone (717) 787-5991

Status as of 7/2/2017 - Subsequent Ruling - PA CODE 58-183.343
Having paid his fines he is restored to good standing in PA. (Ruling # 17065CD)

WHITAKER, LADARRIUS
MICHAEL

Nfld on 6/14/2017

BEDFORD, OH **YOB 1994**
RESTORED TO GOOD STANDING(RES)
AS OF 6/22/2017

FAILURE TO PAY FINE(S)

Mr. Whitaker is hereby suspended until he pays the fine (\$1,300.00) per ruling NFLD-2016-230. O.S.R.C.-3769-17-36 17-99

Status as of 6/22/2017 - Subsequent Ruling - Mr. Whitaker has paid his fine per ruling NFLD-2016-230 and is eligible for licensing in Ohio. O.S.R.C.-3769-17-36 17-99

PART THREE - WHIPPING VIOLATIONS

DE LONG, JOHN J
HoP on 6/30/2017

ANDERSON, IN
FINED: \$300

YOB 1989

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving in race 9 on 6/30/17 he violated the IHRC whipping rule by using other than wrist action.

BLUMENFELD, PAUL YR on 7/1/2017 LATE DRIVER CHANGE	BEECHER, IL FINED: \$100	YOB 1960
BRENNAN, GEORGE YR on 6/26/2017 COMING TO THE GATE OUT OF POSITION	PERRINEVILLE, NJ FINED: \$100	YOB 1967
CARPENTER, BRIAN N Haw on 6/24/2017 CHANGING COURSE/CAUSING INTERFERENCE	PERU, IN FINED: \$200 HORSE PLACED 4	YOB 1959
COLLIER, KENNY T HoP on 6/27/2017 LATE DRIVER CHANGE	INDIANAPOLIS, IN FINED: \$50	YOB 1963
CRAIB, CLAY A HoP on 6/21/2017 INTERFERENCE/ CAUSING ANOTHER HORSE TO NOT FINISH	ANDERSON, IN DRIVING - 3 DAYS, 7/3/2017 THRU 7/5/2017	YOB 1995
CULLIPHER, TERRY F HoP on 6/21/2017 LATE DRIVER CHANGE	ANDERSON, IN FINED: \$50	YOB 1970
DODSON, JAMES CALVIN Nfld on 6/27/2017 FAILURE TO COME UP/STAY IN POSITION	MAURERTOWN, VA FINED: \$50	YOB 1990
EPLER, MICHAEL R Nfld on 6/26/2017 LATE DRIVER CHANGE	MT VERNON, OH FINED: \$50	YOB 1955
FINN, JARED D HoP on 7/1/2017 FAILURE TO SET AND MAINTAIN A PROPER PACE	NEWTON, IL FINED: \$200	YOB 1987
FLOWERS, DERRICK J Nfld on 6/24/2017 FAILURE TO DRIVE WHEN PROGRAMMED	JACKSON, MS FINED: \$50	YOB 1983

GINGRAS, YANNICK PcD on 7/4/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	ALLENTOWN, NJ FINED: \$200	YOB 1979
HITEMAN, DALE P HoP on 7/1/2017 FAILURE TO DRIVE WHEN PROGRAMMED	CHESTERFIELD, IN FINED: \$100	YOB 1956
HOCHSTETLER, HOMER J VD on 7/1/2017 LATE DRIVER CHANGE	PINEHURST, NC FINED: \$50	YOB 1952
HOUSKA, RENEE R Nfld on 6/27/2017 OTHER	MT VERNON, OH FINED: \$100	YOB 1965
LACKEY, JAMES EMMETT HoP on 6/27/2017 FAILURE TO COME UP/STAY IN POSITION	SACRAMENTO, CA FINED: \$100	YOB 1952
LAKE, RICK DAVID Haw on 6/25/2017 LATE TO THE PADDOCK	RIVERDALE, MI FINED: \$200	YOB 1949
LONEY, C BRIAN Nfld on 6/27/2017 LATE DRIVER CHANGE	NORTHFIELD, OH FINED: \$50	YOB 1978
MERRIMAN, JASON RUSSELL Nfld on 6/26/2017 CHANGING COURSE/CAUSING INTERFERENCE	MONROE, MI FINED: \$100	YOB 1986
MILLER, DAVID S PHL on 6/25/2017 OTHER	CREAM RIDGE, NJ FINED: \$250	YOB 1964
MONTEMBEAULT, NANCY MR on 6/21/2017 OTHER	LOCH SHELDRAKE, NY FINED: \$200	YOB 1973

MORGAN, ANTHONY N PHL on 6/25/2017 FAILURE TO COME UP/STAY IN POSITION	WARWICK, MD FINED: \$200	YOB 1958
MOSHER, GARY D Bang on 6/30/2017 FAILURE TO KEEP BOTH FEET IN THE STIRRUPS	BELGRADE, ME FINED: \$125	YOB 1959
MYERS, JACK L HoP on 6/28/2017 LATE DRIVER CHANGE	BRISTOL, IN FINED: \$50	YOB 1939
PANTALEANO, JAMES R Mea on 7/3/2017 KICKING A HORSE	CANONSBURG, PA FINED: \$250	YOB 1962
PLANO, RICHARD C HoP on 6/28/2017 IMPEDING THE PROGRESS OF ANOTHER HORSE	ANDERSON, IN FINED: \$200 HORSE PLACED 4	YOB 1951
RICCO, JASON J MR on 6/21/2017 OTHER	LOCH SHELDRAKE, NY FINED: \$100	YOB 1978
ROBERTS, JONATHAN W OD on 7/3/2017 LAYING BACK IN SULKY	DOVER, DE FINED: \$100	YOB 1981
ROMANETTI, RAYMOND M Mea on 6/28/2017 LATE TO THE LASIX/ DETENTION BARN	MCMURRAY, PA FINED: \$250	YOB 1952
SARAMA, GERALD W VD on 6/29/2017 LATE DRIVER CHANGE	BUFFALO, NY FINED: \$50	YOB 1942
SMITH, TREVOR GENE Nfld on 6/19/2017 FAILURE TO DRIVE WHEN PROGRAMMED	WASHINGTON CH, OH FINED: \$100	YOB 1996

SMITH, TYLER ALAN ScD on 6/27/2017 FAILURE TO DRIVE WHEN PROGRAMMED	WASHINGTON COURT HOU, OH FINED: \$250	YOB 1993
STECK, RONALD E ScD on 6/27/2017 DELAYING THE POST PARADE	MARENGO, OH FINED: \$50	YOB 1968
WALLIN, LUCAS PHL on 6/29/2017 JOSTLE, STRIKE OR HOOK WHEELS	NEW EGYPT, NJ FINED: \$250	YOB 1992
WARREN, TODD E HoP on 7/1/2017 PASSING LANE VIOLATION	MANHATTEN, IL FINED: \$50	YOB 1965
WRENN, RONNIE H JR. Nfld on 6/24/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	STOW, OH FINED: \$100 HORSE PLACED 4	YOB 1986
WRIGHT, DARRELL LEE HoP on 6/24/2017 INSIDE THE EURO RAIL / PYLON VIOLATION	STOCKBRIDGE, MI FINED: \$50	YOB 1962