

FINES AND SUSPENSIONS BULLETIN

Fri, Sep 29 - Thu, Oct 5

PART ONE

The following action has been taken by the United States Trotting Association. This information is in an abbreviated form.

CARTER, WILLIAM JR. **NORRISTOWN, PA** **YOB 1952**
USTA on 9/25/2017 RESTORED TO GOOD STANDING(RES)
AS OF 10/2/2017

USTA MEMBERSHIP ACTION

Membership suspended for failure to answer correspondence regarding disputed transfer of horse.

Status as of 10/2/2017 - Subsequent Ruling - Restored to good standing.

PART TWO - MAJOR PENALTIES

The following actions published herein and imposed pursuant to the rules and regulations of the Racing Commissions of the various states and provinces are given full faith and credit and strictly enforced by the United States Trotting Association consistent with the provisions of USTA Rule 22, Section 13 and 14 relative to RECIPROCITY OF PENALTIES. The fact that there is no separate action taken by the USTA in the form of a "ruling of reciprocity" shall not be construed as a failure to recognize and/or reciprocate with those published penalties.

Please note that the following action is in an abbreviated form. Further information may be available by contacting the Racing Commissions or their representatives.

ANNUNZIATA, AL M **NEWARK, NJ** **YOB 1959**
YR on 6/27/2017 FINED: \$500
FULL - 7 DAYS, 10/9/2017 THRU
10/15/2017
HORSE DISQUALIFIED - PLACED 8,
PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

BEACHY, HENRY E **WOOSTER, OH** **YOB 1959**
MtGil on 8/29/2017 FINED: \$1,000
HORSE DISQUALIFIED, PURSE
REDISTRIBUTION

POSITIVE TEST- POST RACE

Blood sample #865888 collected at the Morrow County Fair on 8/29/17 from the horse Irish Beach Kidd was found to contain flunixin at a concentration of 109+-5 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 20 nanograms per milliliter adopted by the OSRC. All purse money must be returned (\$375.00) O.S.R.C.-3769-18-01(B)((1)(a) 18-02

18-99

CARTER, WILLIAM D
PHL on 5/25/2017

PHILADELPHIA, PA

YOB 1971

FINED: \$10,000

FULL - 1825 DAYS, 10/10/2017 THRU
10/8/2022

**CONDUCT DETRIMENTAL TO THE BEST INTEREST OF HORSE
RACING**

Pa Code 58-183.93 183.255 183.268 183.336 183.339 Mr. William Carter has been found guilty of forging an owner's signature on the application for transfer and falsifying official documents and conduct detrimental to the best interest of racing. Mr. William Carter signed an owner's name to a USTA transfer document, transferring the horse Portsmouth Harbor from the owner of the horse to Mr. Carter's father's name. Mr. Carter did this without the knowledge or consent of the owner of the horse. After making this false transfer Mr. Carter continued to race the horse. Purse money was earned while racing under false ownership and was retained by Mr. Carter. The purse money earned during this period must be returned. There were a number of aggravating factors used to impose the penalty given. Please note further that you have the right to appeal this decision to the state horse racing commission within 10 days after notice of this decision.

CLABAUGH, LARRY L
Nfld on 8/23/2017

MEDINA, OH

YOB 1962

FINED: \$250

POSITIVE TEST- POST RACE

Blood sample #865736 collected at the Lorain County Fair on 8/23/17 from the horse Wanderer was found to contain flunixin at a concentration of 27+-4 nanograms per milliliter of plasma. This exceeds the Regulatory Threshold of 20 nanograms per milliliter adopted by the OSRC. O.S.R.C.-3769-18-01(B)(1)(a) 18-02 18-99

DUSPIVA, NOELLE
PcD on 7/12/2017

WALDEN, NY

YOB 1982

RESTORED TO GOOD STANDING(RES)
AS OF 10/4/2017

FAILURE TO OBTAIN PROPER LICENSE

PA Code 58-183.256 Ms. Duspiva has failed to complete licensing requirements in Pennsylvania for 2017. He is fined \$50.00 and suspended indefinitely starting on 9/3/17. She will remain suspended until she completes his licensing requirements and pays his fine.

Status as of 10/4/2017 - Subsequent Ruling - PA Code 58-183.256 Ms.

Duspiva has paid her fine and completed her licensing requirements in Pennsylvania and is restored to good standing as of 10/1/17.

HUDSON, LANCE H
YR on 6/19/2017

HUGUENOT, NY **YOB 1971**
FINED: \$1,000
FULL - 25 DAYS, 9/29/2017 THRU
10/23/2017
HORSE DISQUALIFIED - PLACED 7,
PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

LEDFORD, ERIC S
Dela on 9/20/2017

SABINA, OH **YOB 1971**
FINED: \$500

DISTURBING THE PEACE

O.S.R.C Licensee ERIC LEDFORD is hereby fined five hundred (\$500.00) dollars. Mr. Ledford attempted to enter the secured paddock area at Delaware County Fair without the proper license. Mr. Ledford became confrontational with two members of the O.S.R.C staff and his conduct was unprofessional and his action was against the best interest of racing. O.S.R.C.-3769-12-26(A)(4), 3769-12-26(A)(10), 3769-14-23(D), 3769-14-28(C) 3769-14-29(A), 3769-17-29(A)(7)&(10) 3769-17-99. All fines are due within 48 hours of this ruling.

LOWE, DUANE M
DTN on 7/28/2017

MALTA, OH **YOB 1933**
FINED: \$250

POSITIVE TEST- POST RACE

Pike County Fair O.S.R.C. 3769-18-01.(B)(1)(b) Trainer DUANE LOWE is hereby fined the sum of two hundred and fifty dollars (\$250.00) for violation of Ohio State Racing Commission Rule 3769-18-01.(B)(1)(b). Blood Sample #864153 for horse FINAL BREATH tested by the Analytical Toxicology Laboratory at the Ohio Department of Agriculture on August 8, 2017 showed a concentration of Flunixin at 44 +/- 3 ng/mL, which exceeds the O.S.R.C. regulatory threshold of 20 ng/mL. All fines are due within forty-eight hours of the date of this ruling.

OAKES, CHRISTOPHER W
PHL on 7/19/2017

WILKES BARRE, PA **YOB 1964**
FINED: \$3,000
HORSE DISQUALIFIED - PLACED 7,
PURSE REDISTRIBUTION

POSITIVE TEST- POST RACE

Humb on 9/17/2017

FINED: \$100

**LOW OR EXCESS LEVEL OF AUTHORIZED MEDICATION
(BUTE/LASIX)**

An analysis of the test sample obtained from MELTDOWNSHYMNTOHM following its second place finish in the eleventh race at Humboldt, Iowa on September 17, 2017 revealed the presence of phenylbutazone in at level exceeding the permissible threshold. Trainer Cary Sturtz is hereby issued a fine of \$100.00 payable to IHRPRO. First offense.

PART THREE - WHIPPING VIOLATIONS

BURTON, BRIAN E

CAMBRIDGE, MD

YOB 1978

RcR on 10/1/2017

FINED: \$100

EXCESSIVE OR INDISCRIMINATE USE OF WHIP

Driver Brian Burton is fined \$100 for excessive use of the whip on TIDEWATER TITAN in the 4th race on 10-1-17 at Rosecroft Raceway. COMAR 09.10.03.03 A(14)

CHINDANO, JOSEPH J JR.

BULLVILLE, NY

YOB 1999

MR on 10/4/2017

FINED: \$100

OTHER

While driving #5, "SEAS IDEAL," in Race 6, you were in violation of the whipping rule.

EASH, DONALD J

GREENFIELD, IN

YOB 1954

HoP on 9/22/2017

FINED: \$300

DRIVING - 5 DAYS, 9/29/2017 THRU
10/3/2017

INAPPROPRIATE USE OF THE WHIP

71 IAC 7-3-13(b) While driving in race 2 on 9/22/17 he violated the IHRC whipping rule by using other than wrist action. (3rd offense) In addition to the fine Mr. Eash is suspended from driving for 3 days - 9/29/17, 9/30/17, and 10/3/17.

FINN, JARED D

NEWTON, IL

YOB 1987

DTN on 9/29/2017

FINED: \$300

INAPPROPRIATE USE OF THE WHIP

Dayton Raceway Driver JARED FINN is hereby fined the sum of three hundred dollars (\$300.00) for a violation of Ohio State Racing Commission

While driving No. 4, ROCKIN COUGAR , in Race 6 at Plainridge Park on 9/26/17 , D T Sumner did use his whip in an excessive manner resulting in a fine of \$ 100.00 . All fines must be paid within 48 hours and all appeals filed in writing within 10 days to the racing offices of the MGC.

PART FOUR - MINOR PENALTIES

BARTLETT, JASON JAMES YR on 10/2/2017 KICKING A HORSE	GOSHEN, NY FINED: \$100	YOB 1981
BATES, BRANDON L HoP on 9/14/2017 OTHER	MONROE, IN FINED: \$500	YOB 1975
BERRY, PATRICK D PHL on 9/28/2017 INSIDE THE EURO RAIL / PYLON VIOLATION PHL on 9/29/2017 CARRY A HORSE OUT	MONROE TWP, NJ FINED: \$200 FINED: \$500 HORSE PLACED 4	YOB 1976
BONGIORNO, JENNIFER L YR on 9/28/2017 FAILURE TO DESIGNATE RESPONSIBLE PERSON/TRAINER IN Paddock	LYNDHURST, NJ FINED: \$100	YOB 1990
BRENNAN, GEORGE YR on 9/28/2017 FAILURE TO DRIVE WHEN PROGRAMMED	PERRINEVILLE, NJ FINED: \$100	YOB 1967
BROCKLEHURST, BRYCE H Mea on 9/30/2017 CHANGING COURSE/CAUSING INTERFERENCE	NEW WILMINGTON, PA FINED: \$200	YOB 1989
BURKHOLDER, MATTHEW Nfld on 9/20/2017 LATE DRIVER CHANGE	MIDDLEFIELD, OH FINED: \$50	YOB 1997

COLLINS, DEAN T Mea on 10/2/2017 KICKING A HORSE	WASHINGTON, PA FINED: \$250	YOB 1957
DE CARLO, JOSEPH D YR on 9/28/2017 DELAYING THE START YR on 9/28/2017 FAILURE TO HAVE HORSE PROPERLY EQUIPPED	BALLSTON SPA, NY FINED: \$100 FINED: \$100	YOB 1967
DUBE, DANIEL YR on 9/28/2017 FAILURE TO DRIVE WHEN PROGRAMMED	MILLSTONE, NJ FINED: \$100	YOB 1969
EASH, DONALD J DTN on 9/28/2017 FAILURE TO PARTICIPATE IN THE POST PARADE	GREENFIELD, IN FINED: \$50	YOB 1954
EUBANKS, AMY R DTN on 9/26/2017 FAILURE TO PARTICIPATE IN THE POST PARADE	WOOSTER, OH FINED: \$50	YOB 1980
FERGUSON, SCOTT L DTN on 9/26/2017 FAILURE TO DRIVE WHEN PROGRAMMED	TROY, OH FINED: \$50	YOB 1965
FRANCIS, CLYDE J Har on 10/2/2017 LATE TO THE LASIX/ DETENTION BARN	HOUSTON, DE FINED: \$200	YOB 1957
GARDNER, ANDY J VD on 9/30/2017 LATE DRIVER CHANGE	VERNON, NY FINED: \$50	YOB 1983
GREENO, EDWARD A Nfld on 9/27/2017 LATE DRIVER CHANGE	FINDLAY, OH FINED: \$50	YOB 1962
GRISMORE, GREG G DTN on 9/16/2017 IMPEDING THE PROGRESS OF ANOTHER HORSE	GROVE CITY, OH FINED: \$100	YOB 1970

DTN on 9/28/2017	FINED: \$150	
FAILURE TO DRIVE WHEN PROGRAMMED		
HANNERS, ALICIA	SABINA, OH	YOB 1979
Nfld on 9/30/2017	FINED: \$50	
LATE DRIVER CHANGE		
KELLY, RICHELLE K	SOUTH VIENNA, OH	YOB 1957
DTN on 9/26/2017	FINED: \$200	
FAILURE TO HONOR DECLARATION		
LACHANCE, PATRICK D	ALLENTOWN, NJ	YOB 1975
YR on 9/30/2017	FINED: \$100	
FAILURE TO COME UP/STAY IN POSITION		
MERRIMAN, AARON EDWARD	NORTHFIELD, OH	YOB 1978
Nfld on 9/30/2017	FINED: \$50	
LATE DRIVER CHANGE		
Nfld on 10/1/2017	FINED: \$500	
	<i>APPEALED - 10/2/2017</i>	
FAILURE TO DRIVE WHEN PROGRAMMED		
MERTON, MICHAEL J	MONTICELLO, NY	YOB 1971
TgDn on 9/16/2017	DRIVING - 3 DAYS, 9/29/2017 THRU 10/1/2017	
CHANGING COURSE/CAUSING INTERFERENCE		
MOGAN, SCOTT B	LOCKBOURNE, OH	YOB 1959
Nfld on 9/30/2017	FINED: \$50	
LATE DRIVER CHANGE		
MOORE, KYLE W	LAUREL, DE	YOB 1987
Har on 9/25/2017	FINED: \$200	
LATE TO THE LASIX/ DETENTION BARN		
NISONGER, JEFFREY S	LEBANON, OH	YOB 1981
DTN on 9/26/2017	FINED: \$200	
FAILURE TO HONOR DECLARATION		
OFFENBERG, DAVID H	MONROE TWP, NJ	YOB 1948
PHL on 9/27/2017	FINED: \$200	

